

# Diffraction from polycrystalline materials

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## PART I

- From single-crystal to powder diffraction
- Intensity scattered from a powder sample

## PART II

- Features and aberrations of the powder geometry
- Structure factor and intensity calculations



# Diffraction and Reciprocal lattice

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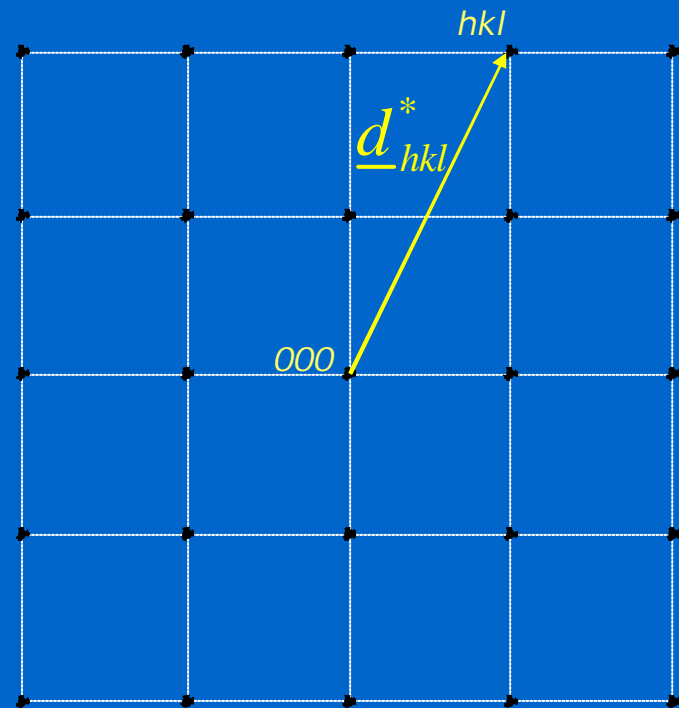
A vector drawn from the origin of the reciprocal lattice to the point  $(hkl)$ , where  $h, k, l$  are the Miller indices (integer numbers) is given by:

$$\underline{d}_{hkl}^* = h\underline{a}^* + k\underline{b}^* + l\underline{c}^*$$

where  $\underline{a}^*$ ,  $\underline{b}^*$ ,  $\underline{c}^*$  are the reciprocal space vectors

The vector modulus is the inverse of the interplanar distance for the planes with indices  $(hkl)$ :

$$d_{hkl}^* = \left| \underline{d}_{hkl}^* \right| = \frac{1}{d_{hkl}}$$





# Diffraction and Reciprocal lattice

Vectors  $\underline{s}_0$  and  $\underline{s}$  identify, respectively the incident and scattered beam

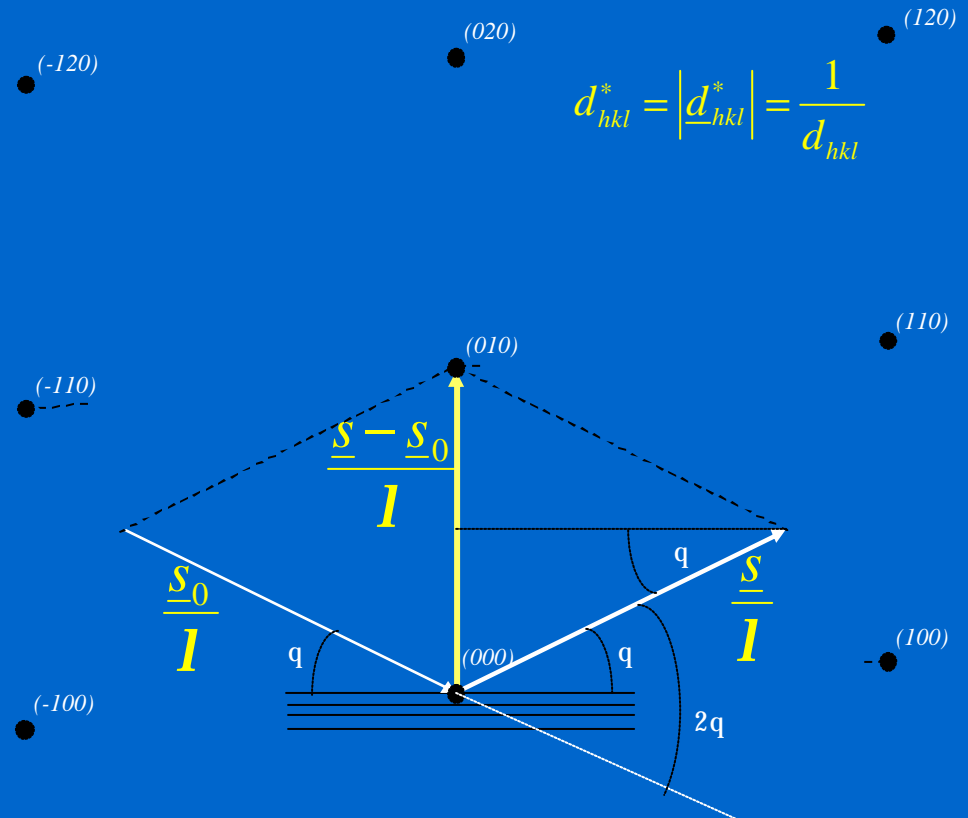
$$\left| \frac{\underline{s} - \underline{s}_0}{l} \right| = \frac{2 \sin \theta}{l} = \frac{1}{d} = d^*$$

$$d_{hkl}^* = \left| \underline{d}_{hkl}^* \right| = \frac{1}{d_{hkl}}$$

$\underline{d}^*$  = scattering vector

The Bragg law in reciprocal lattice is

$$\frac{\underline{s} - \underline{s}_0}{l} = \underline{d}_{hkl}^*$$



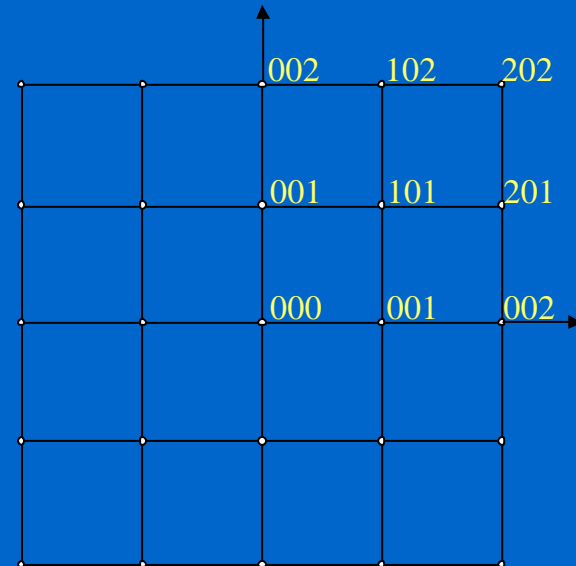
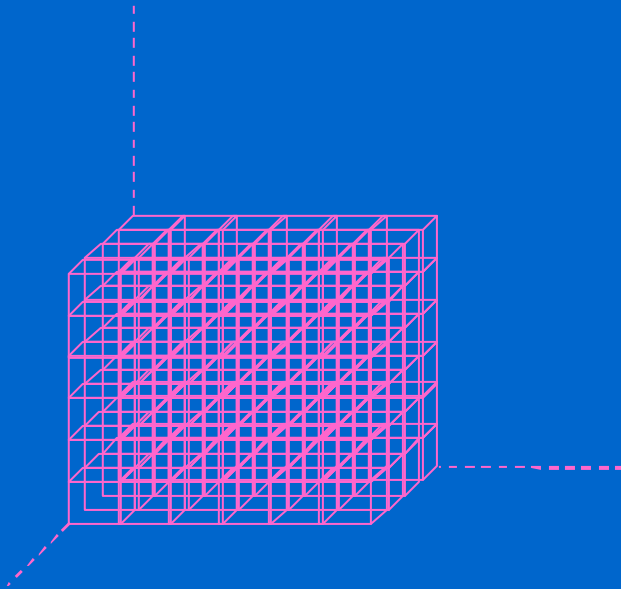


# DIFFRACTION AND RECIPROCAL SPACE

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For a *perfect (infinite) crystal*

The *reciprocal lattice* is made of (*infinitely small*) points representing sets of planes of Miller indices *hkl*



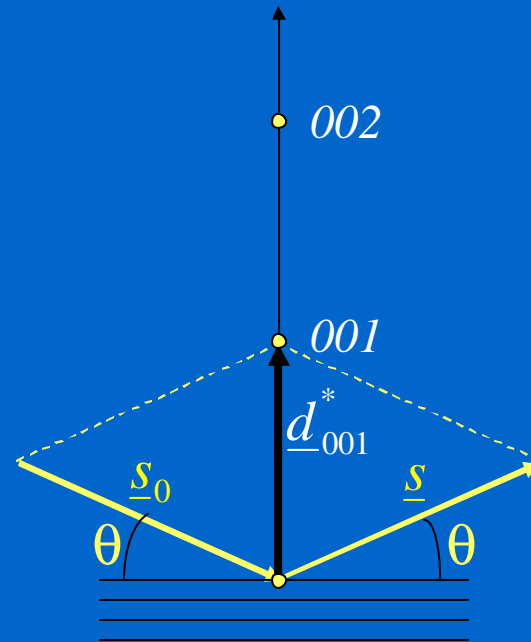
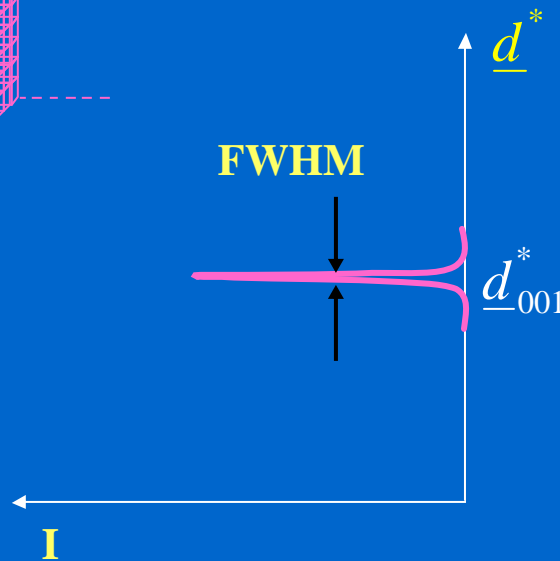
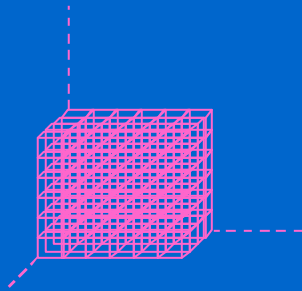


# DIFFRACTION AND RECIPROCAL SPACE

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For a *perfect (infinite) crystal* the **peak width** is determined by the instrumental resolution only:

$$|\underline{s} - \underline{s}_0| = \frac{2\sin\theta}{\lambda} = \frac{1}{d_{hkl}} = d_{hkl}^*$$



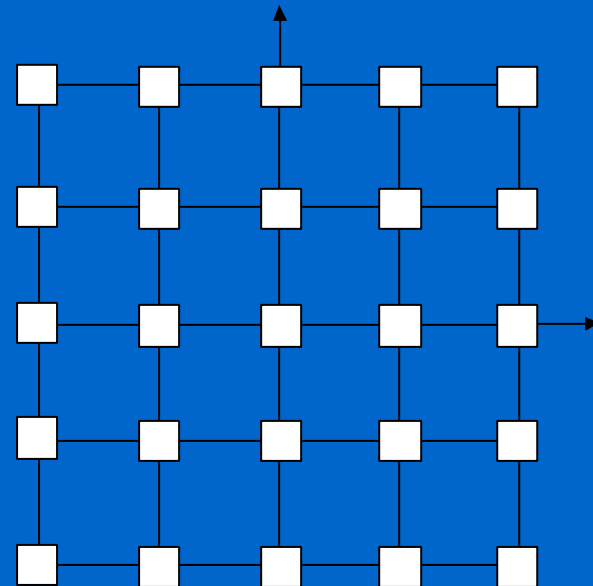
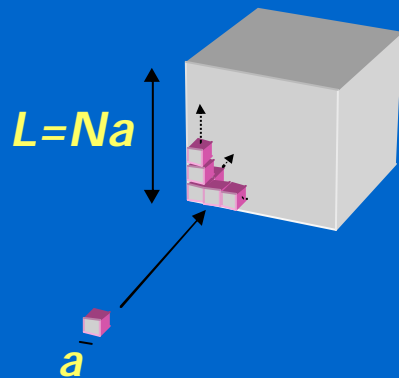


# DIFFRACTION AND RECIPROCAL SPACE

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For a *finite crystal* ( $L < 1\text{mm}$ )

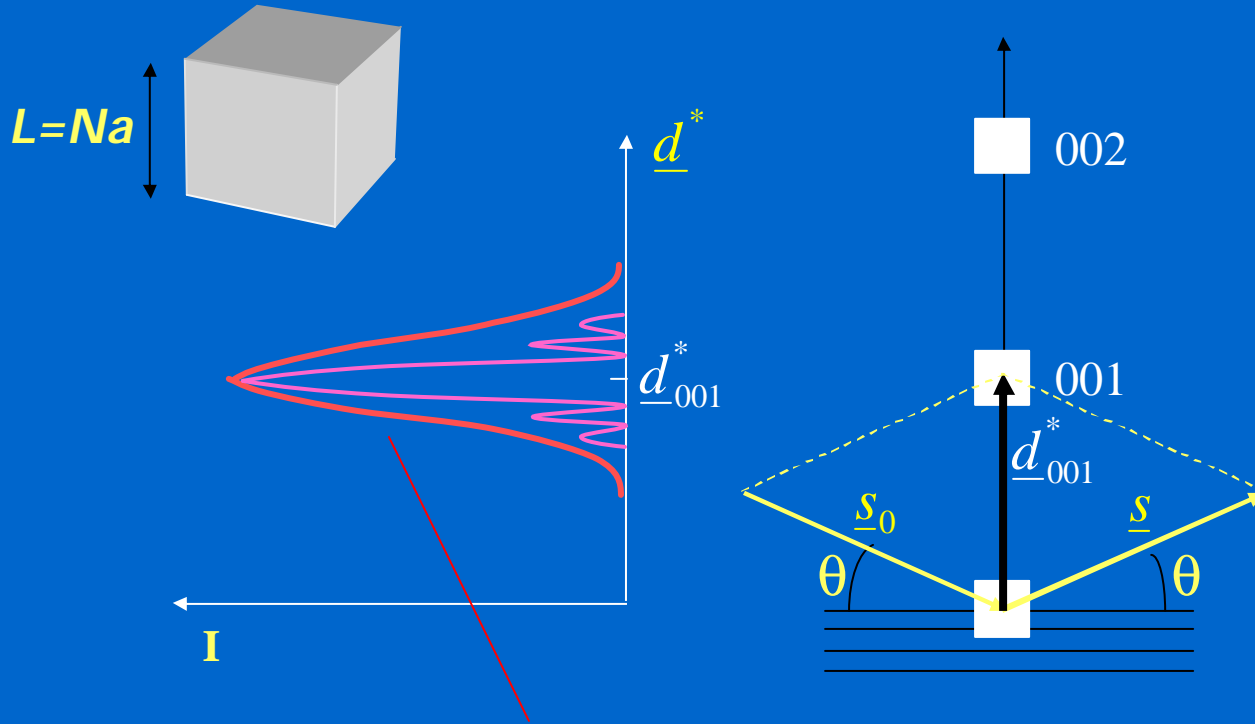
Reciprocal lattice points have finite extension. The shape is related to the crystal shape .





# DIFFRACTION AND RECIPROCAL SPACE

Integral breadth:  $b(d^*) = \frac{\text{Peak Area}}{\text{Peak Maximum}} = \frac{1}{L}$  (Scherrer formula)



Effect of instrument, domains with different shape/size





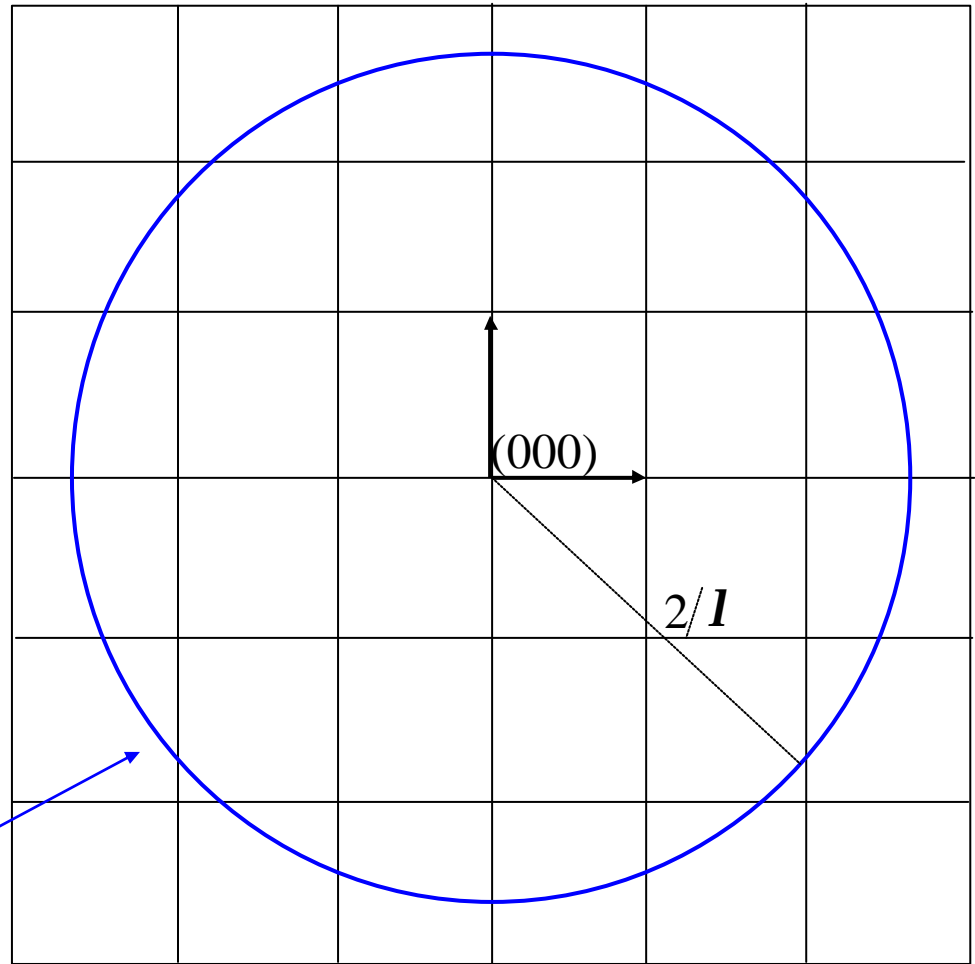
# RECIPROCAL LATTICE: DIFFRACTION CONDITIONS<sup>9</sup>

For a given wavelength, the Bragg law sets a limit to the interplanar distances for which diffraction is observed:

$$\sin \mathbf{q} = \mathbf{l}/2d \leq 1$$

$$d^* \leq \frac{2}{\mathbf{l}}$$

All points representing planes that can diffract are inside a sphere of finite radius,  $2/\mathbf{l}$  (*limiting sphere*)



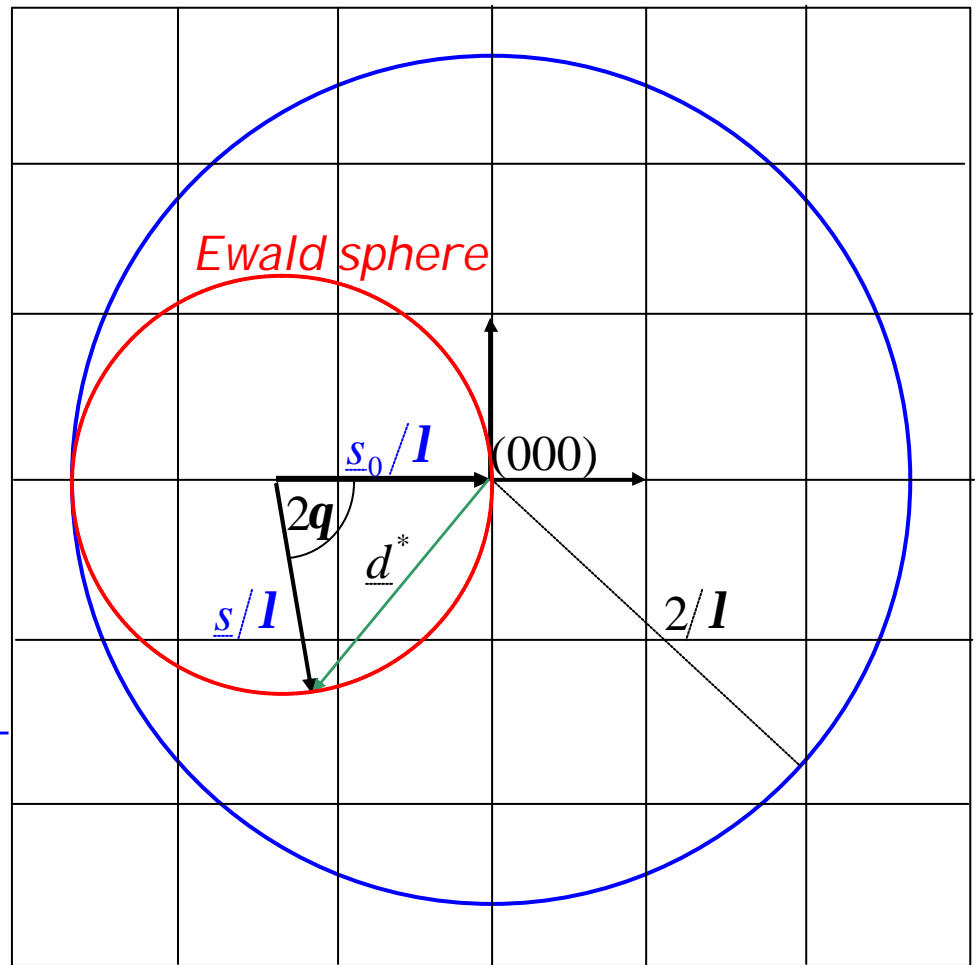


# RECIPROCAL LATTICE: DIFFRACTION CONDITIONS<sup>40</sup>

Diffraction conditions occur when the tip of the scattering vector  $\underline{d}^*$  falls on a point of the reciprocal space.

The condition is fulfilled by all points on the **Ewald sphere**, a sphere of radius  $1/\lambda$ , tangent to the origin and to the  $2/\lambda$  sphere.

In a powder diffraction measurement, the Ewald sphere can be thought as *rotating* inside the  $2/\lambda$  sphere.





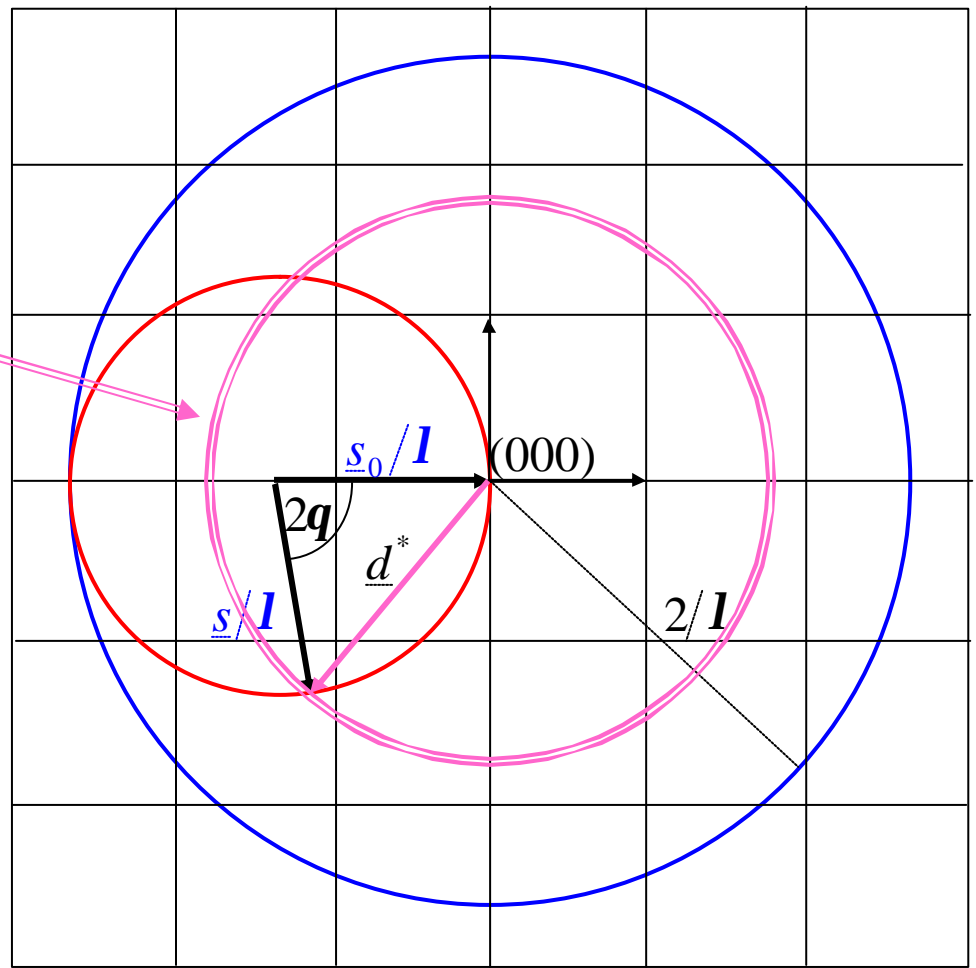
# RECIPROCAL LATTICE: DIFFRACTION CONDITIONS<sup>11</sup>

As a consequence, the tip of the scattering vector 'sweeps' the surface of a *sphere of radius  $d^*$*

During a powder diffraction measurement, the sphere of radius  $d^*$  swells (for increasing  $2\theta$ ) and sweeps the reciprocal space within the limits:

$$0 \leq d^* \leq \frac{2}{l}$$

$$(0 \leq 2q \leq 180^\circ)$$





# RECIPROCAL LATTICE: POWDER DIFFRACTION

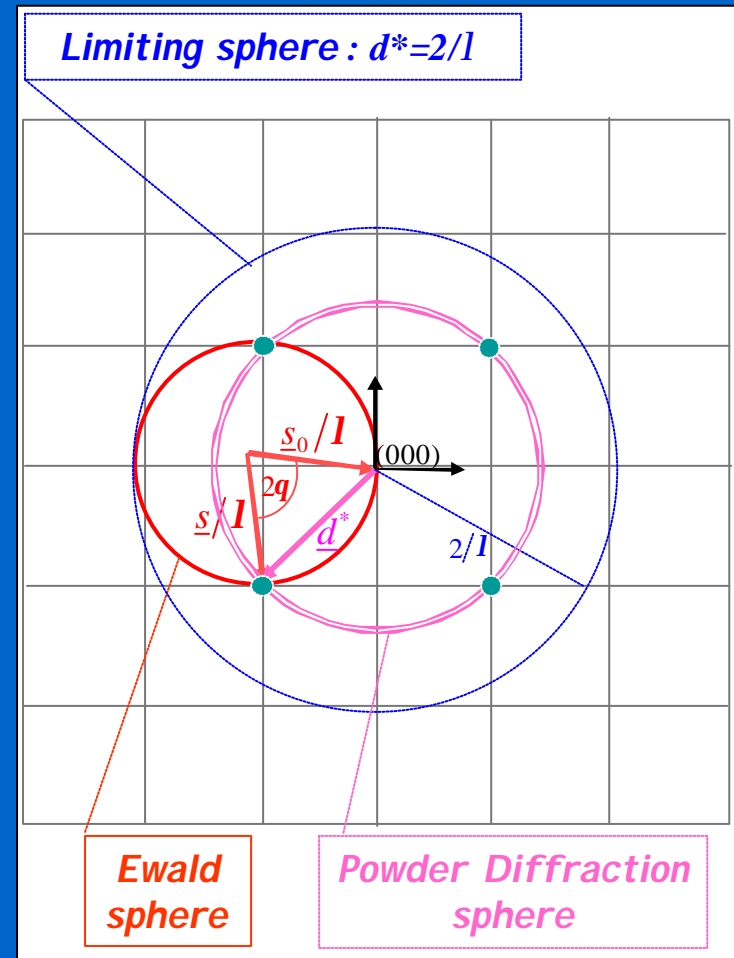
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Diffraction takes place whenever the scattering vector crosses a reciprocal space point ( $hkl$ ):

$$\frac{\underline{s} - \underline{s}_0}{l} = \underline{d}_{hkl}^*$$

In a powder diffraction measurement, the sphere of radius  $d^*$  progressively 'swells' (increasing  $2\theta$ ) and sweeps the reciprocal space within the limiting sphere:

All reciprocal space points on the PD sphere are in diffraction condition ( $\rightarrow$  multiplicity).



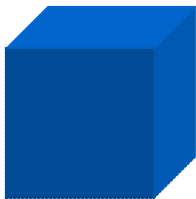


# RECIPROCAL LATTICE: MULTIPLICITY

In a powder (polycrystalline material) measurement, several points can be in diffraction condition **simultaneously**, i.e., for the same  $2\theta$ .

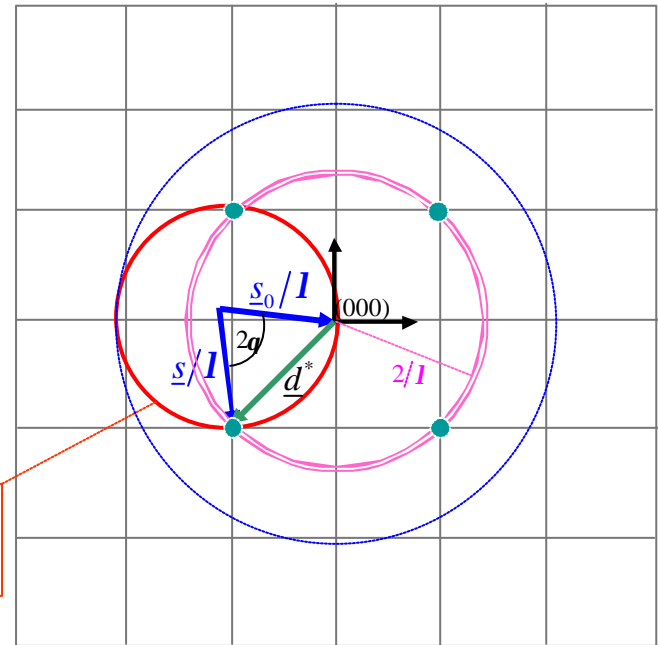
This property is expressed by the concept of **multiplicity** of a diffraction peak, i.e., the number of equivalent planes.

In cubic structures:



Miller indices	$hkl$	$h\bar{h}k$	$0kl$	$0k\bar{k}$	$hhh$	$00l$
Multiplicity	48	24	24	12	8	6

**Ewald sphere**





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## PART II

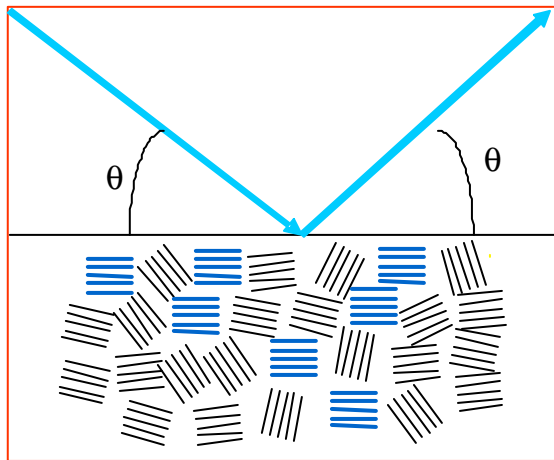
- Features and aberrations of the powder geometry
- Structure factor and intensity calculations



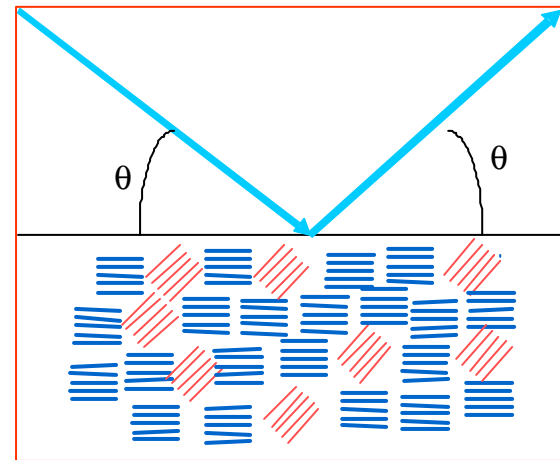
## The concept of 'Powder'

An ideal powder is a polycrystalline sample (a true powder or a bulk specimen) such that for every possible orientation a sufficiently high number of grains ( $\rightarrow$  **grain statistics**) has atomic planes in Bragg condition (**random orientation**).

If preferred orientations (**texture**) are present, suitable models are necessary to account for the 'non-ideal' conditions.



**Random orientation**



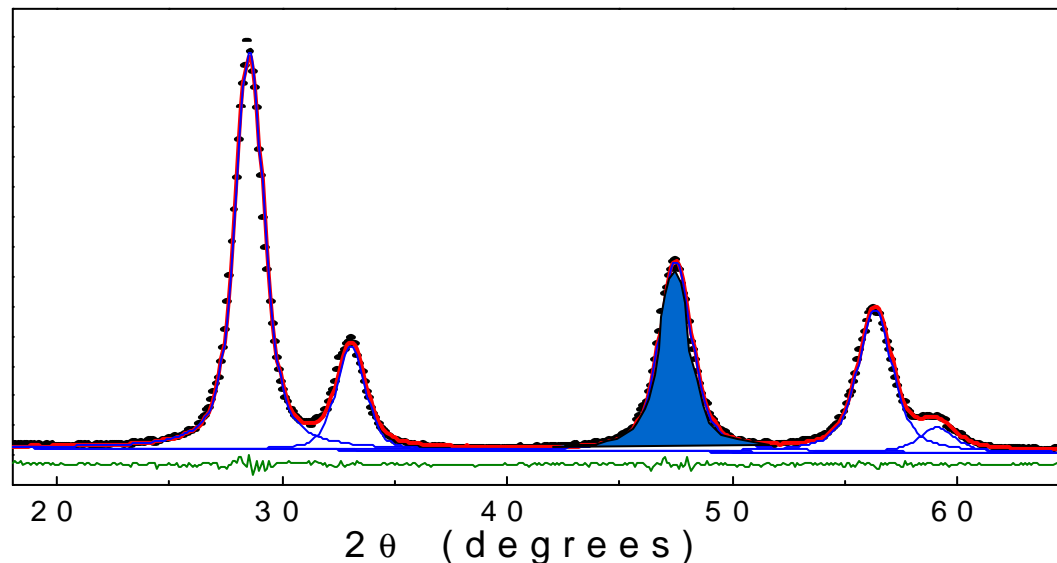
**Preferred orientation**



## Integrated intensity

Intrinsic features of the sample, instrument and measurement geometry cause a dispersion of the scattered intensity across a finite angular range (**a peak**). The range (width) changes with  $2\theta$ .

The diffracted signal is better represented by the area of the diffraction peak (**integrated intensity**) than by maximum intensity.







# INTEGRATED INTENSITY

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The *integrated intensity* of a powder diffraction peak is given by:

$$I(2\mathbf{q}) = k' |F_T|^2 p \left( \frac{1 + \cos^2(2\mathbf{q})}{\sin(\mathbf{q}) \sin(2\mathbf{q})} \right)$$

Structure factor

Multiplicity

Lorentz-Polarization factor

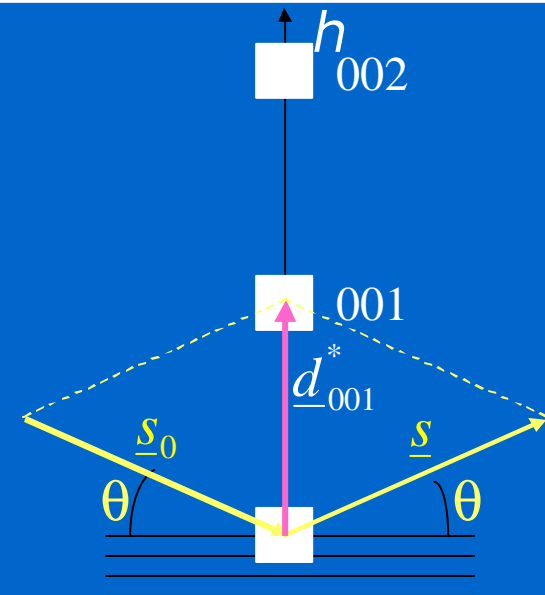
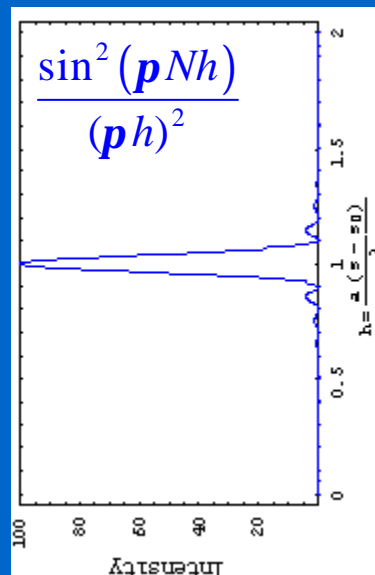
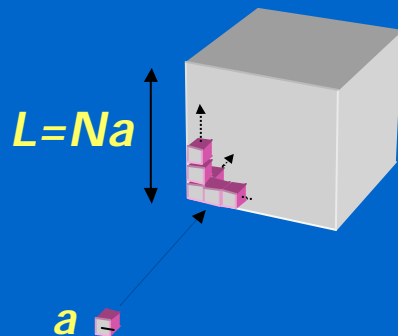


# INTEGRATED INTENSITY

A diffraction measurement basically consists in a cross section through one or more reciprocal space (RS) points.

The measured intensity depends on:

- The way RS points are crossed;
- The sampling in RS (considering measurements are in  $2\mathbf{q}$  space);
- The fraction of diffracted signal collected by the detector.

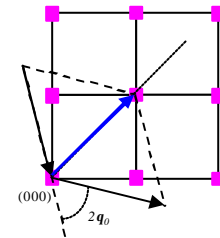




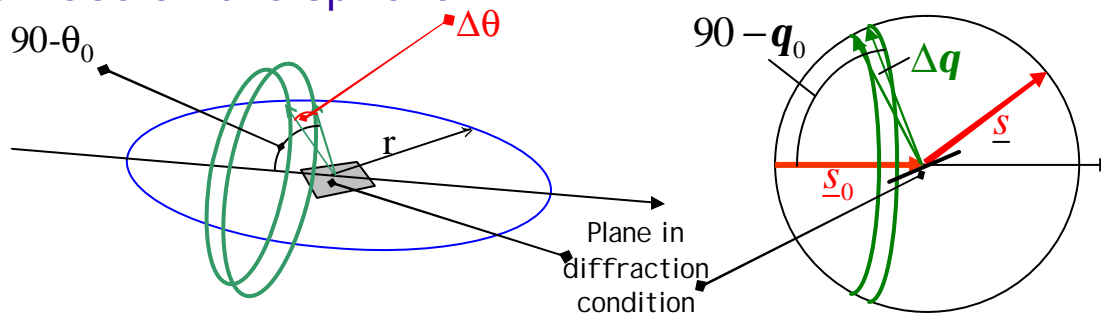
# THE LORENTZ FACTOR

Points a), b), c) give the so-called *Lorentz factor*, which depends on the diffraction geometry. As for the way an *hkl* point in RS is "***crossed***" during a measurement, the effect is:

$$\propto 1/\sin(2q)$$



The fraction of crystalline domains whose planes are in diffraction condition changes with the  $2q$  angle. This fraction is proportional to the ratio between a stripe of width  $rDq$  and the total surface of the sphere.

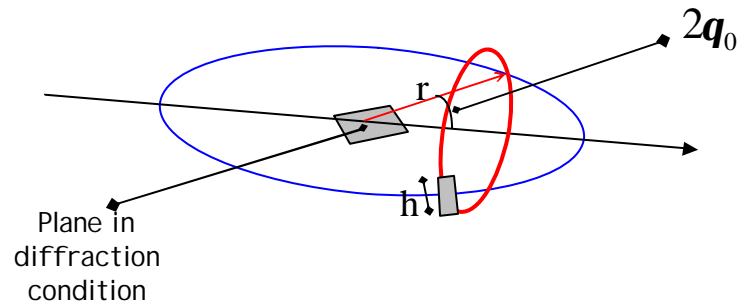


This feature gives a term  $\propto \cos(q)$



# THE LORENTZ FACTOR

Finally, as shown in the figure below, during a traditional powder diffraction measurement, the X-ray detector spans just a portion ( $h$ ) of the base circle of the diffraction cone.



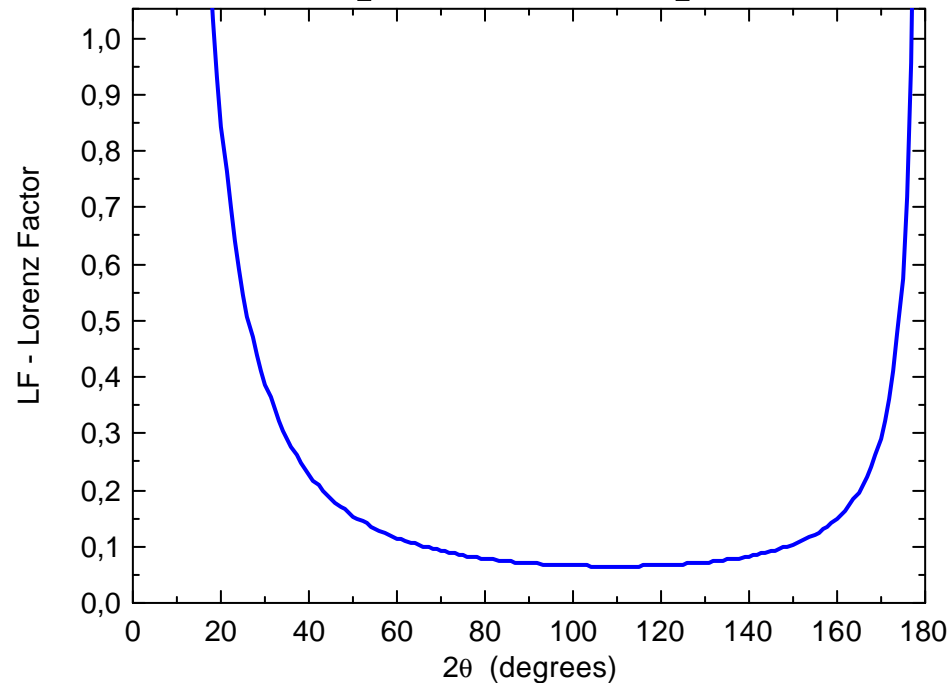
This gives a further trigonometric term, proportional to the integrated intensity:  $\propto 1/\sin(2q)$



# THE LORENTZ FACTOR

Putting together the trigonometric terms described so far, we obtain the **Lorentz Factor** for the powder geometry:

$$LF = \frac{1}{\sin 2q} \cdot \cos q \cdot \frac{1}{\sin 2q} = \frac{\cos q}{\sin^2 2q}$$

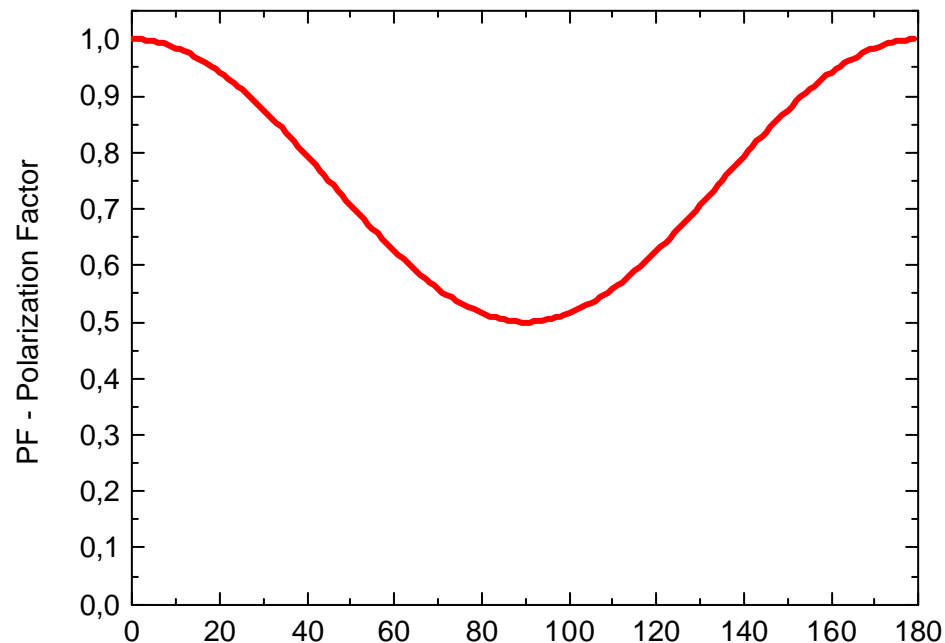




# POLARIZATION FACTOR

An additional trigonometric term is in the *Polarization Factor* :

$$PF = \left[ 1 + \cos^2(2\theta) \right] / 2$$

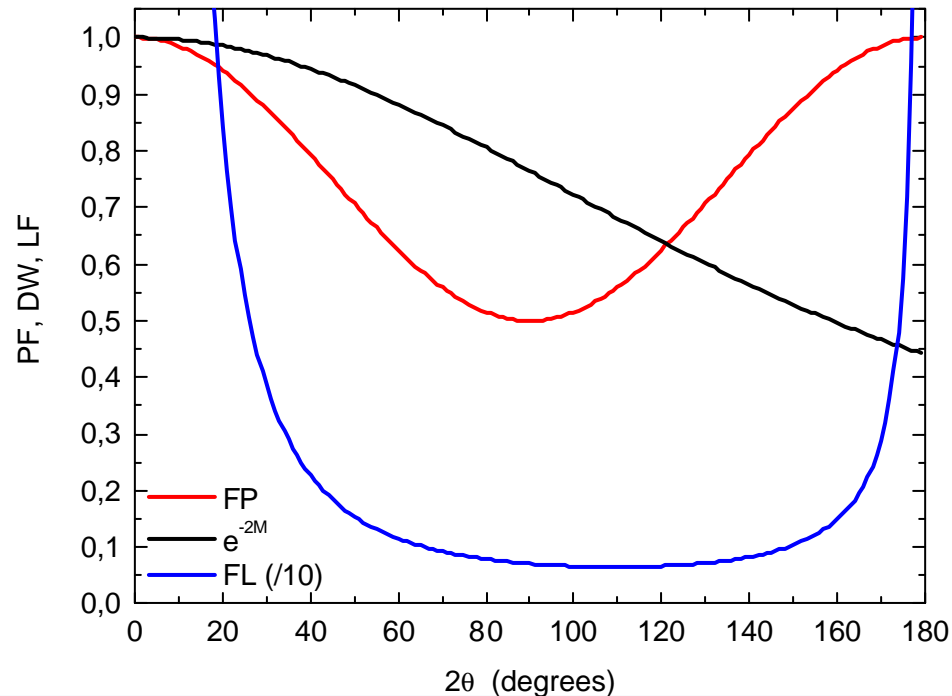




# THE LORENTZ-POLARIZATION FACTOR

The Lorentz and Polarization factors can be combined in a single trigonometric term: the **Lorentz-Polarization** factor:

$$LF = \frac{\cos q}{\sin^2 2q} \quad PF = \left[1 + \cos^2(2q)\right]/2 \quad \Rightarrow \quad LP = \frac{1 + \cos^2(2q)}{\sin(q)\sin(2q)}$$

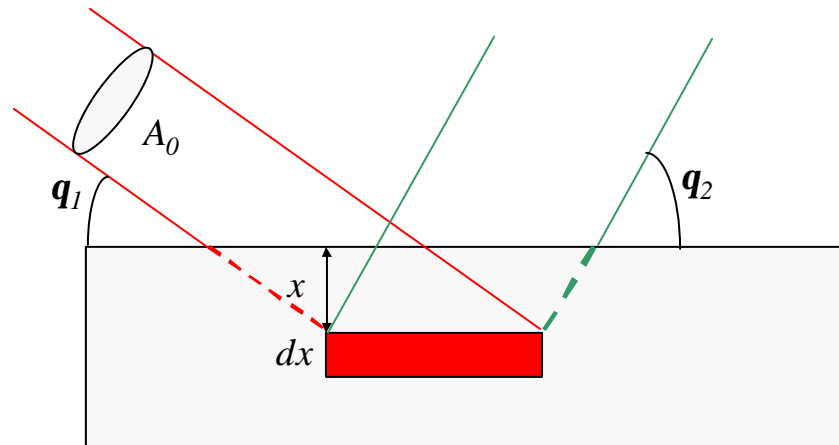




# ABSORPTION

One of the main advantages of the traditional powder diffraction geometry (Bragg-Brentano) is that it does not require  $q$ -dependent correction terms for the absorption of the X-rays.

Consider a beam with cross section  $A_0$  and intensity  $I_0$  impinging with an angle  $q_1$ . A small volume  $dV$ , with thickness  $dx$  and surface  $A_0/\sin(q_1)$  diffracts at the angle  $q_2$ .



$$dI = I_0 e^{-m x (1/\sin q_1 + 1/\sin q_2)} dV = \frac{I_0 A_0}{\sin q_1} e^{-m x (1/\sin q_1 + 1/\sin q_2)} dx$$



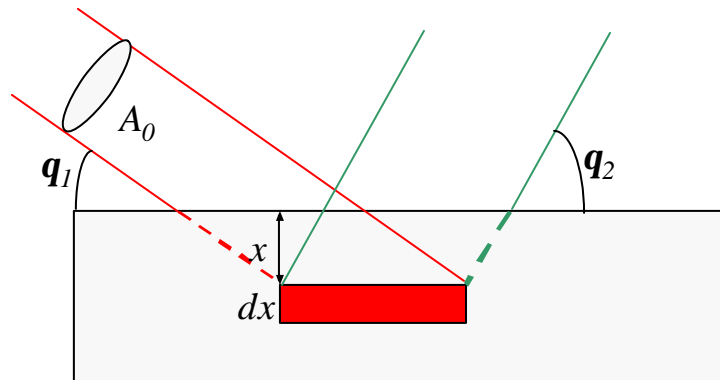


## ABSORPTION

$$dI = I_0 e^{-m x (1/\sin \mathbf{q}_1 + 1/\sin \mathbf{q}_2)} dV = \frac{I_0 A_0}{\sin \mathbf{q}_1} e^{-m x (1/\sin \mathbf{q}_1 + 1/\sin \mathbf{q}_2)} dx$$

In the traditional powder geometry:  $\mathbf{q}_1 = \mathbf{q}_2 = \mathbf{q}$

By integrating on the sample thickness:  $I = \frac{I_0 A_0}{\sin \mathbf{q}} \int_0^\infty e^{-2m x / \sin \mathbf{q}} dx = \frac{I_0 A_0}{2m}$



Independent  
of  $\mathbf{q}$



# INTEGRATED INTENSITY

If terms for absorption ( $\mu$ ), cell volume ( $v_a$ ), goniometer radius ( $r$ ) and wavelength ( $\lambda$ ) are written explicitly,  $k' = k \lambda^3 / \mu v_a^2$

$$I(2\mathbf{q}) = k \frac{\lambda^3}{\mu v_a^2} |F_T|^2 p \left( \frac{1 + \cos^2(2\mathbf{q})}{\sin(\mathbf{q}) \sin(2\mathbf{q})} \right)$$

Structure factor

Multiplicity

Lorentz-Polarization factor



# INTEGRATED INTENSITY

If the secondary circle of a crystal monochromator (analyzer) is present at  $\mathbf{q}_m$ , the polarization factor must be written as:

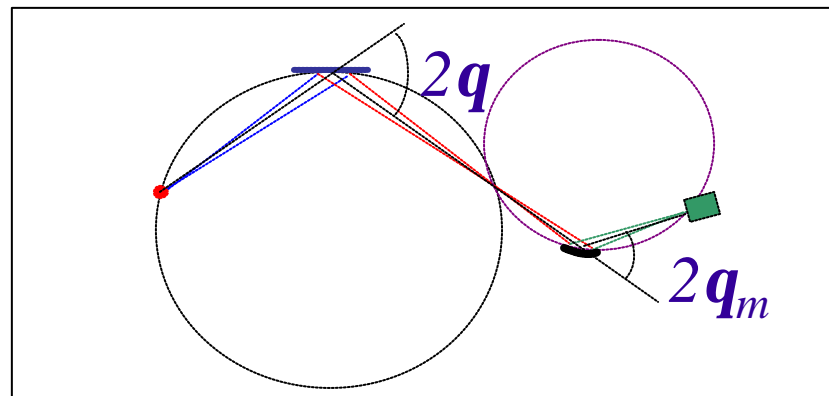
$$I(2\mathbf{q}) = k' \frac{|F_T|^2 I^3 p}{m v_a^2} \left( \frac{1 + \cos^2(2\mathbf{q}_m) \cos^2(2\mathbf{q})}{\sin(\mathbf{q}) \sin(2\mathbf{q})} \right)$$

Structure factor

Absorption

Multiplicity

Lorentz-Polarization factor





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## PART I

- From single-crystal to powder diffraction
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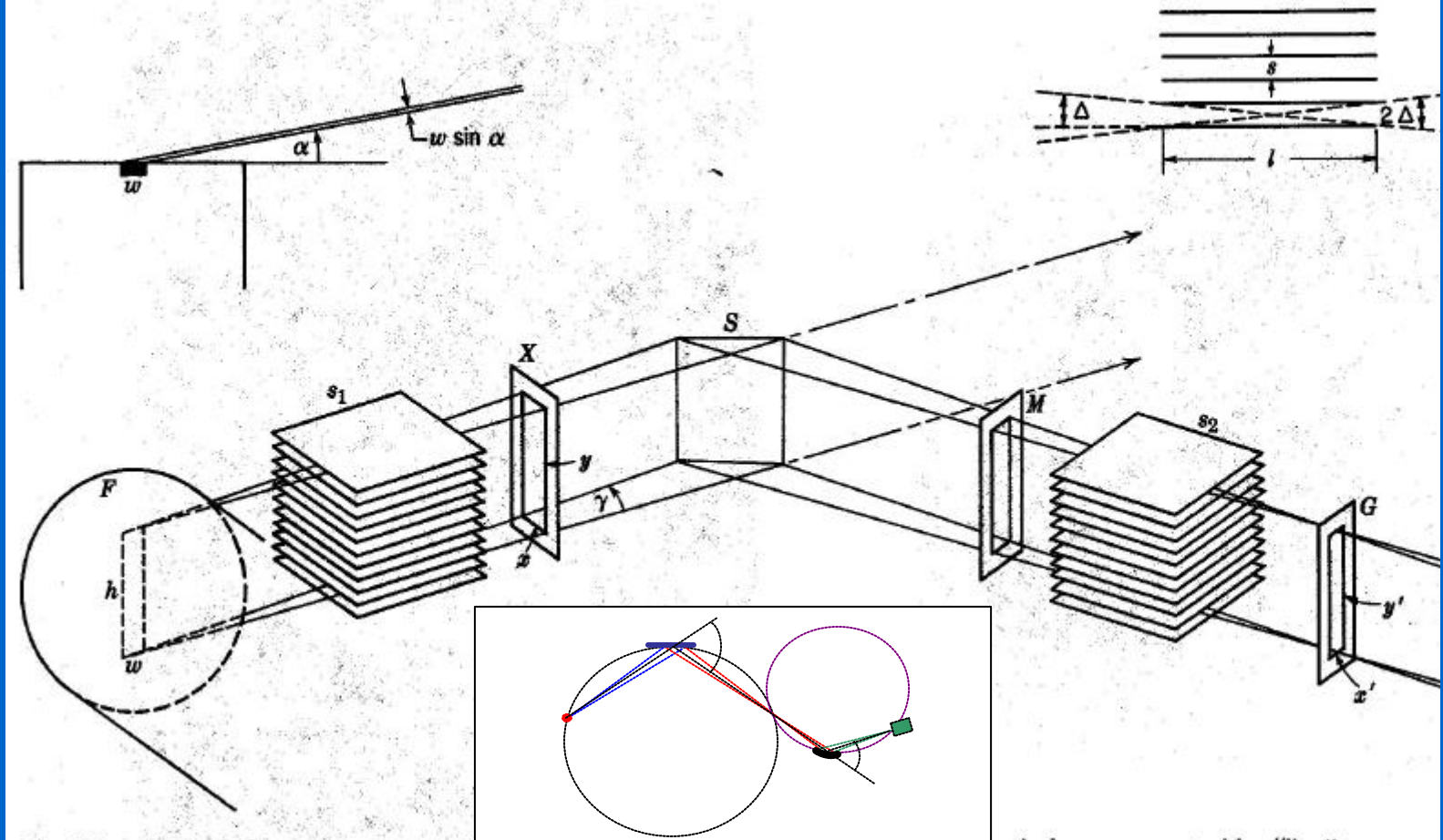
## PART II

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# BRAGG-BRENTANO GEOMETRY

H.P. Klug & L.E. Alexander, *X-ray Diffraction procedures*, Wiley, New York, 1974





# DIFFRACTION PROFILE IN THE POWDER GEOMETRY<sup>30</sup>

The instrumental profile results from a **convolution** of several effects:

$$g = g_I \otimes g_{II} \otimes g_{III} \otimes g_{IV} \otimes \dots$$

The main contributions are those due to:

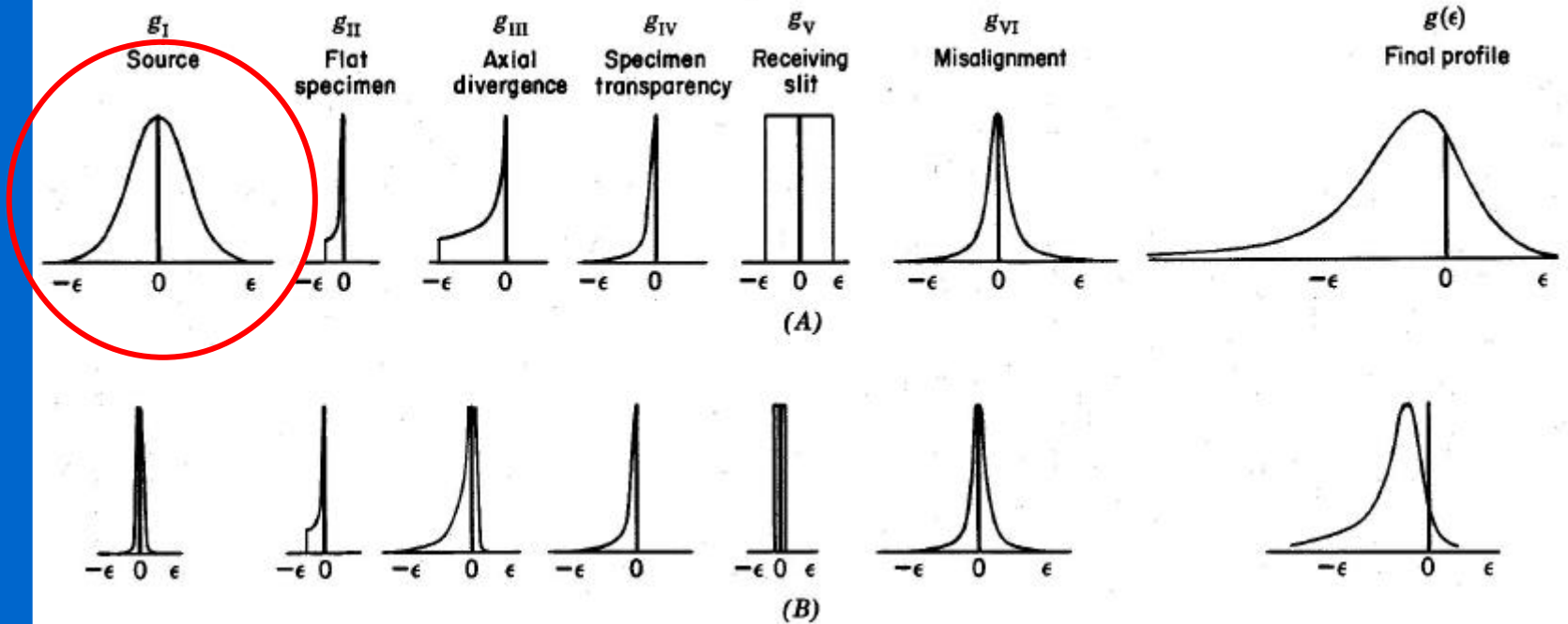
- Emission profile
- Flat sample
- Axial Divergence
- Sample transparency
- Receiving slit

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# DIFFRACTION PROFILE IN THE POWDER GEOMETRY<sup>31</sup>

H.P. Klug & L.E. Alexander, *X-ray Diffraction procedures*, Wiley, New York, 1974

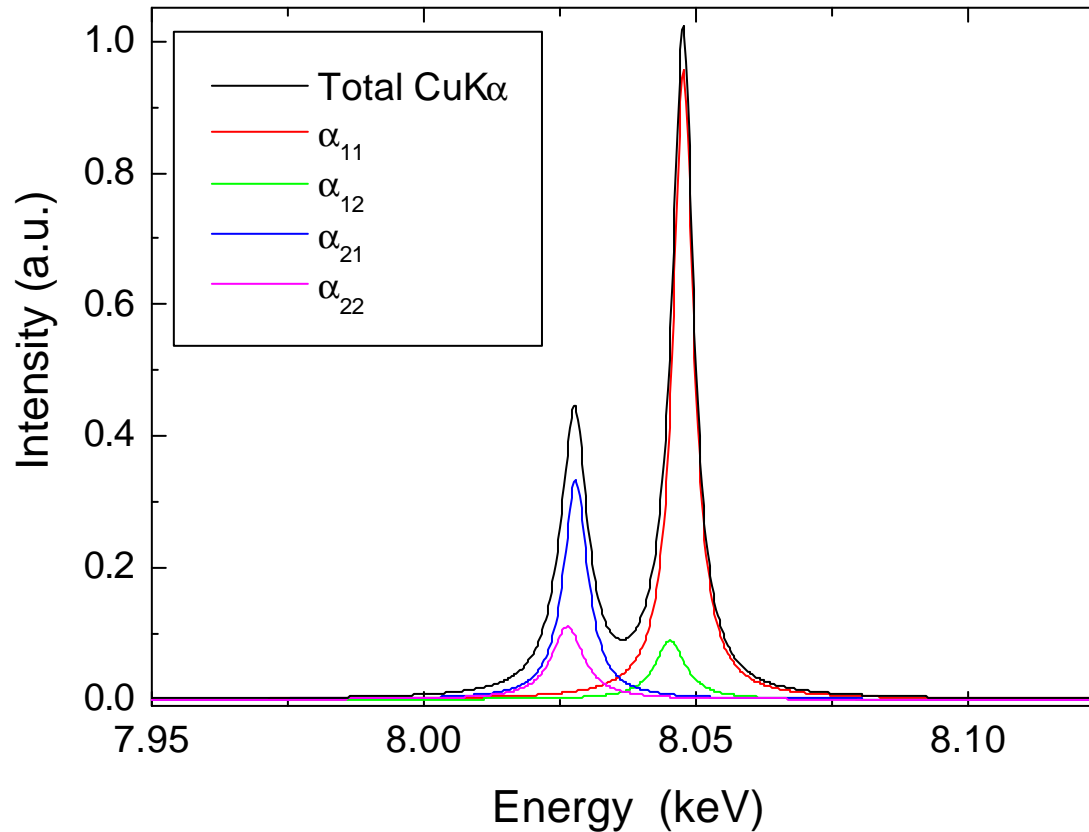


$$g = g_I \otimes g_{II} \otimes g_{III} \otimes g_{IV} \otimes \dots$$



# EMISSION PROFILE

*Emission profile: the  $K\alpha_1/K\alpha_2$  doublet.*



Cu K $\alpha$  emission profile as modelled by four Lorentzians





# EMISSION PROFILE

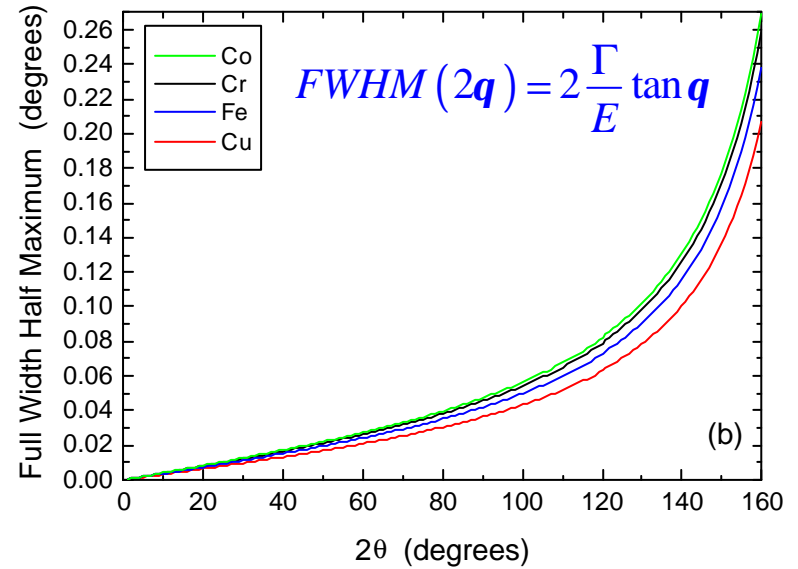
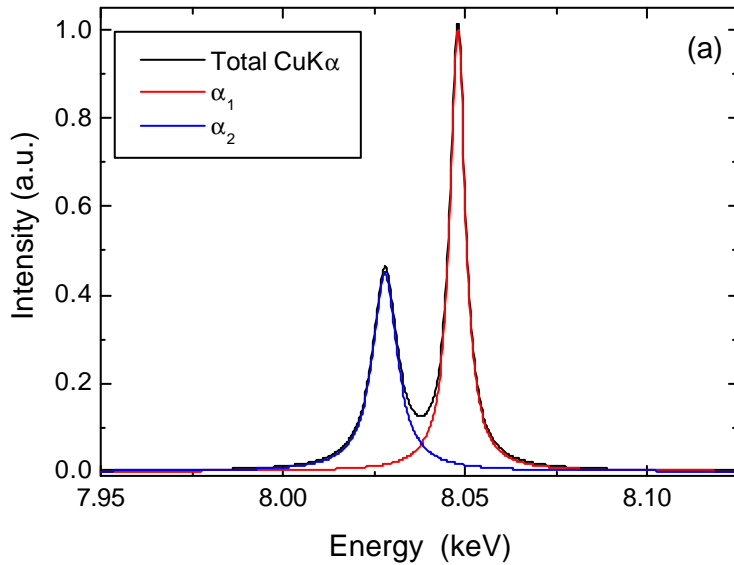
Line width ( $G$ ), asymmetry ( $k$ ) and emission energy ( $E$ ) for the  $K\alpha$  components of Cu, Cr, Fe, Co. Note that two average  $K\alpha$  components are most frequently used instead of the four in the previous figure

	$K\alpha_1$			$K\alpha_2$		
	E (keV)	$\Gamma$ (eV)	$\kappa$	E (keV)	$\Gamma$ (eV)	$\kappa$
Cu	8.048	2.56	1.12	8.028	4.05	1.10
Cr	5.415	2.16	1.38	5.406	2.75	1.18
Fe	6.404	2.35	1.43	6.391	2.84	1.25
Co	6.930	2.87	1.32	6.915	3.59	1.25



# EMISSION PROFILE

Cu K $\alpha$  emission spectrum with two components (a). FWHM of the emission spectrum (K $\alpha_1$  component) as a function of the diffraction angle,  $2\theta$  (b).

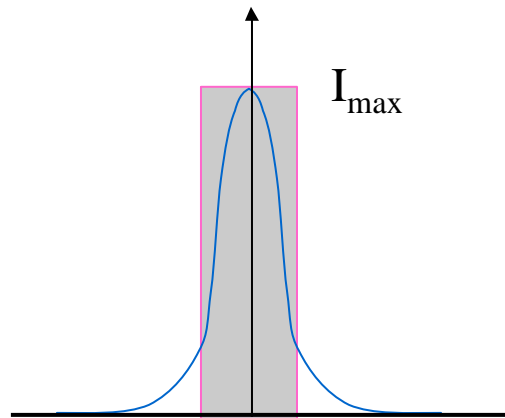


$$I = \frac{c}{n} = \frac{ch}{E} = \frac{12398}{E} \quad \left| \frac{dI}{I} \right| = \left| \frac{dE}{E} \right| = \frac{2d \cos \mathbf{q} \cdot d\mathbf{q}}{2d \sin \mathbf{q}} = \frac{d(2\mathbf{q})}{2 \tan \mathbf{q}} \quad \Rightarrow \quad d(2\mathbf{q}) = 2 \left| \frac{dE}{E} \right| \tan \mathbf{q}$$



# INTEGRAL BREADTH

In addition to peak position, maximum and integrated intensity, a useful quantity is the **integral breadth** ( $b$ ), defined as the ratio between peak area and maximum intensity.



Relation between integral breadth in reciprocal space and  $2q$  space

$$b(2q) = b(d^*) \cdot \frac{l}{\cos q}$$



Nowadays, the most popular data processing programs make use of **analytical profile fitting**. The basic algorithm (even though not the only one, probably not always the best) adopts a non-linear least squares (NLLS) minimization engine.

The quantity to minimize is:

$$S_y^2 = \sum w_i^2 [y_{i,o} - y_{i,c}]^2$$

where  $y_{i,o}$  and  $y_{i,c}$  are respectively the observed and calculated intensities, whereas the weight is  $w_i = 1/\sqrt{y_{i,o}}$ .

Calculated (model) intensities,  $y_{i,c}$ , are typically described by bell-shaped analytical curves, like:

Gaussian, Lorentzian, pseudo-Voigt, Voigt or Pearson VII functions.



# ANALYTICAL PROFILE FITTING

Analytical profile functions commonly used in peak profile fitting:

$$x = 2q - 2q_0 \text{ or } d^* - d_{hkl}^*$$

$$G(x, \mathbf{b}_G) = I_o \cdot \exp\left(-\frac{\mathbf{p} x^2}{\mathbf{b}_G^2}\right) \quad C(x, \mathbf{b}_C) = \frac{I_o}{1 + \frac{\mathbf{p}^2 x^2}{\mathbf{b}_C^2}}$$

$$pV(x) = I_o \left[ (1-h) \cdot G(x, \mathbf{b}_G) + h \cdot C(x, \mathbf{b}_C) \right] =$$

$$= I_o \left[ (1-h) \cdot \exp\left(-\frac{\mathbf{p} x^2}{\mathbf{b}_G^2}\right) + h \cdot \frac{1}{1 + \frac{\mathbf{p}^2 x^2}{\mathbf{b}_C^2}} \right]$$



# ANALYTICAL PROFILE FITTING

Useful properties of the  $pV$  function are, e.g., that the IB is simply given by:

$$\mathbf{b}_{pV} = (1-h) \mathbf{b}_G + h \mathbf{b}_C$$

if G and C components of the pV have the same width (HWHM). It is also possible to use 'Split  $pV$ ', with right (R) and left (L) HWHMs:

$$pV(x) = I_o \cdot \left[ (1-h) \cdot \exp\left(-\ln(2) \frac{x^2}{w_{L,R}^2}\right) + h \cdot \frac{1}{1 + \frac{x^2}{w_{L,R}^2}} \right]$$



# ANALYTICAL PROFILE FITTING

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Fit quality is typically expressed by suitable statistical quality indices:

$$S_y^2 = \sum_i w_i^2 [y_{i,o} - y_{i,c}]^2$$

$$R_{wp} = \left\{ S_y^2 / \sum_i w_i^2 y_{i,o}^2 \right\}^{1/2}$$

$$R_{exp} = \left[ (N - P) / \sum_i w_i y_{i,o}^2 \right]^{1/2}$$

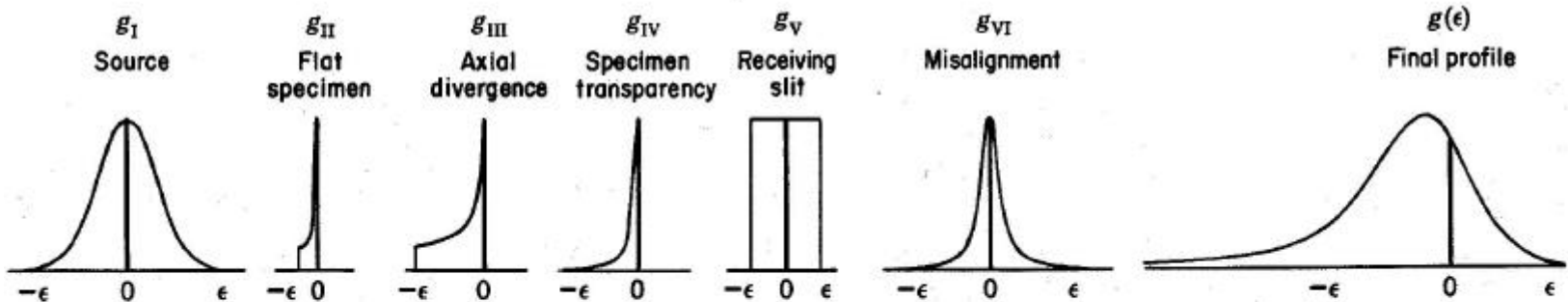
$$GoF = R_{wp} / R_{exp}$$

where **N** is the number of data points, **P** is the number of fit parameters.



# ANALYTICAL PROFILE FITTING

H.P. Klug & L.E. Alexander, *X-ray Diffraction procedures*, Wiley, New York, 1974



$$g = g_I \otimes g_{II} \otimes g_{III} \otimes g_{IV} \otimes \dots$$

The observed profile ( $h$ ) is a convolution of the **instrumental profile** ( $g$ ) with the **'true' diffraction profile** due to microstructure and lattice defects of the studied sample ( $f$ )

$$h(\mathbf{h}) = f(\mathbf{h} - \mathbf{e}) \otimes g(\mathbf{e})$$

$f$  profile  $\rightarrow$  small crystalline domain size, dislocations, faulting, etc.





# ANALYTICAL PROFILE FITTING

In many cases  $f$ ,  $g$ ,  $h$  profiles can be described by Voigtian functions. When using pseudoVoigt functions ( $x = 2\mathbf{q} - 2\mathbf{q}_0$  or  $d^* - d_{hkl}^*$ ):

$$pV(x) = I_o \left[ (1-h) \cdot \exp\left(-\frac{p x^2}{b^2}\right) + h \cdot \frac{1}{1 + \frac{p^2 x^2}{b^2}} \right]$$

For the  $g$  (instrumental) profile, mixing parameter ( $h$ ) and width ( $b$ ) can be parameterized as:

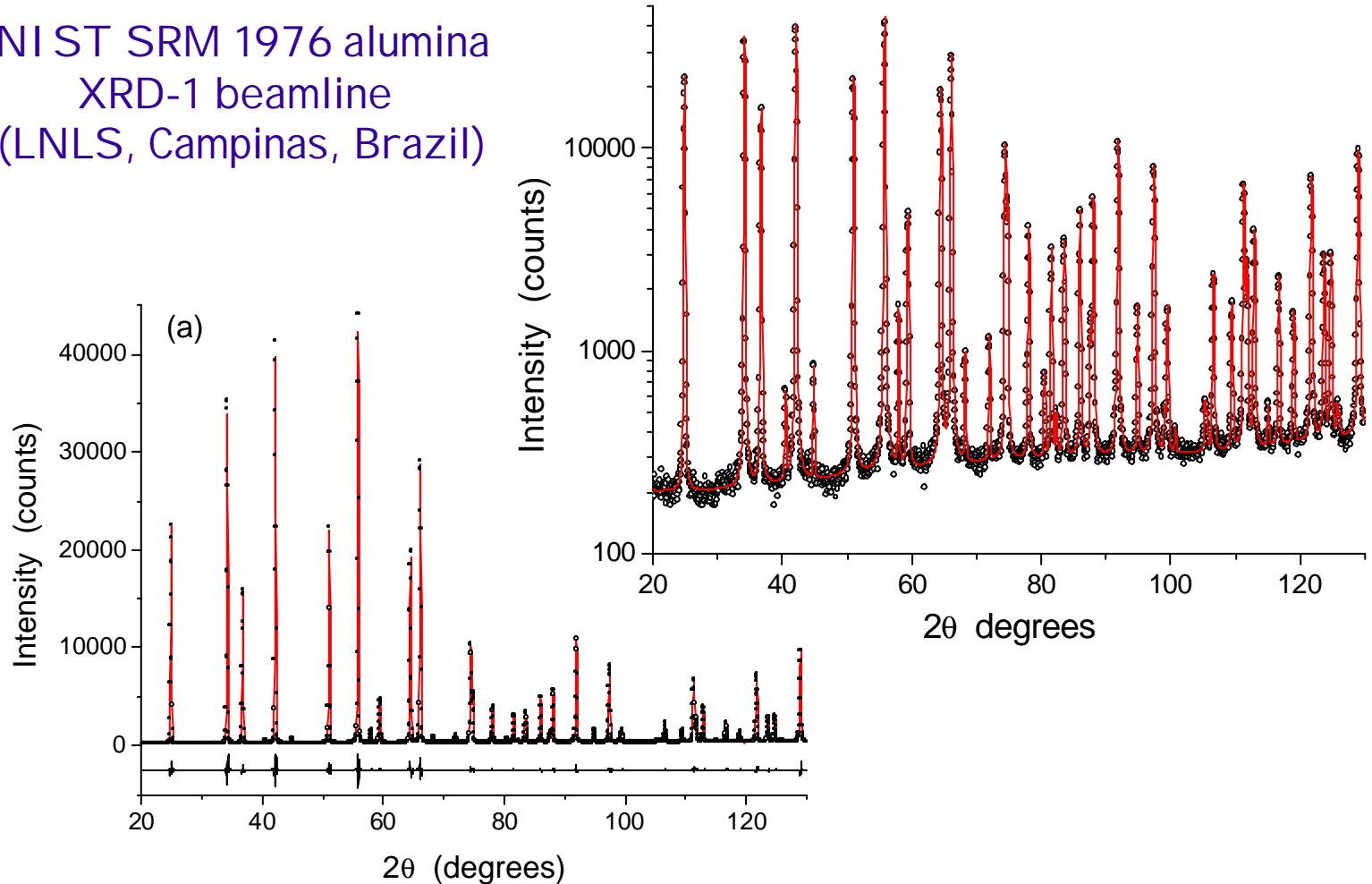
$$FWHM = \left( U \tan^2 \mathbf{q} + V \tan \mathbf{q} + W \right)^{1/2}$$

$$h = a + b \cdot \mathbf{q} + c\mathbf{q}^2$$



# ANALYTICAL PROFILE FITTING

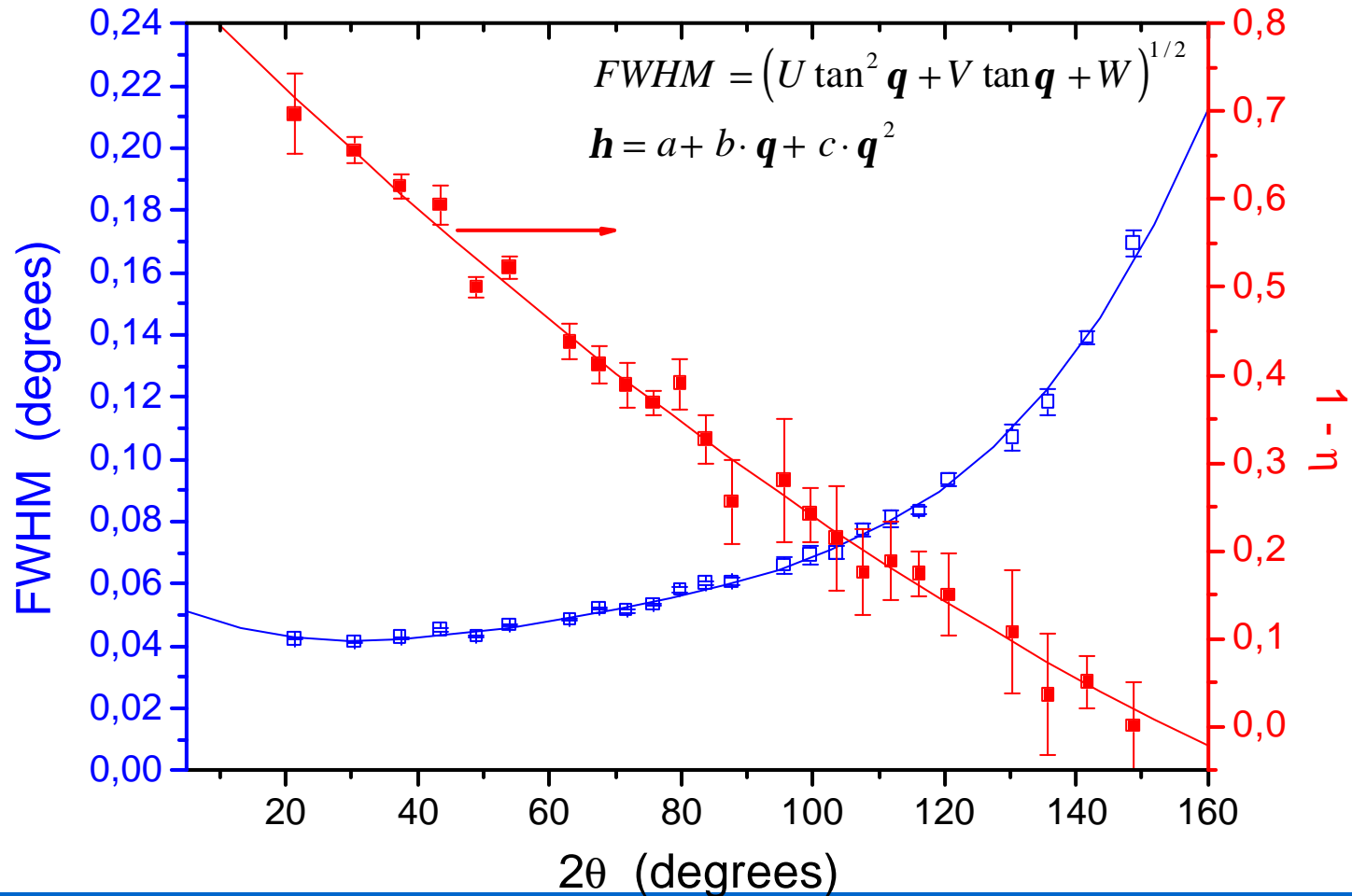
NI ST SRM 1976 alumina  
XRD-1 beamline  
(LNLS, Campinas, Brazil)





# ANALYTICAL PROFILE FITTING

XRDLab at Trento. Rigaku PMG/VH: instrumental ( $g$ ) profile



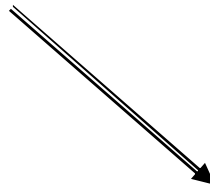


# ANALYTICAL PROFILE FITTING

If both  $f$  and  $g$  profile components are assumed to be Voigtian, the  $h$  profile is also Voigtian and is given by:

$$b_{hC} = b_{gC} + b_{fC}$$

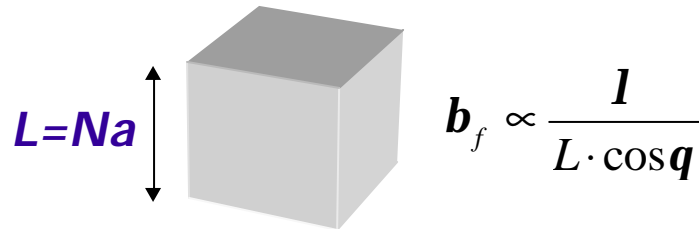
$$b_{hG} = \sqrt{b_{gG}^2 + b_{fG}^2}$$



If  $g$  is known, fitting the experimental  $h$  profile allows one to obtain the  $f$  profile:

$$b_f = (1-h) b_{fG} + h b_{fC}$$

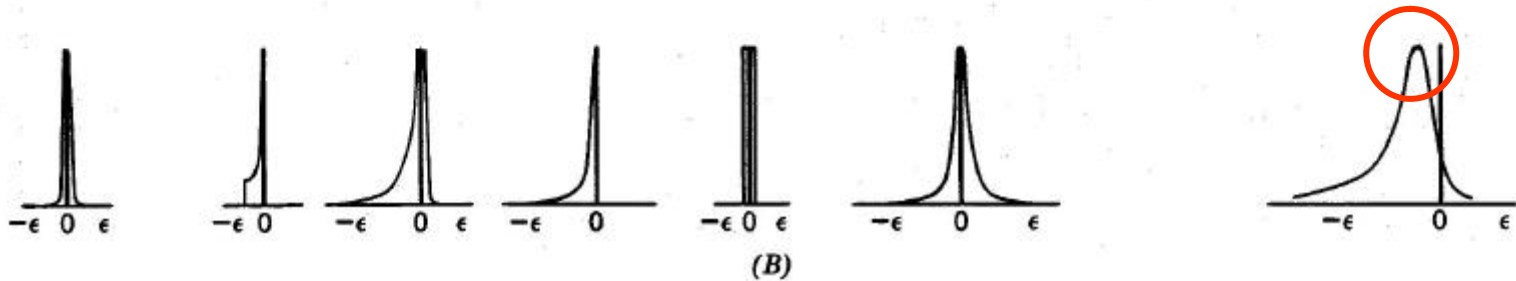
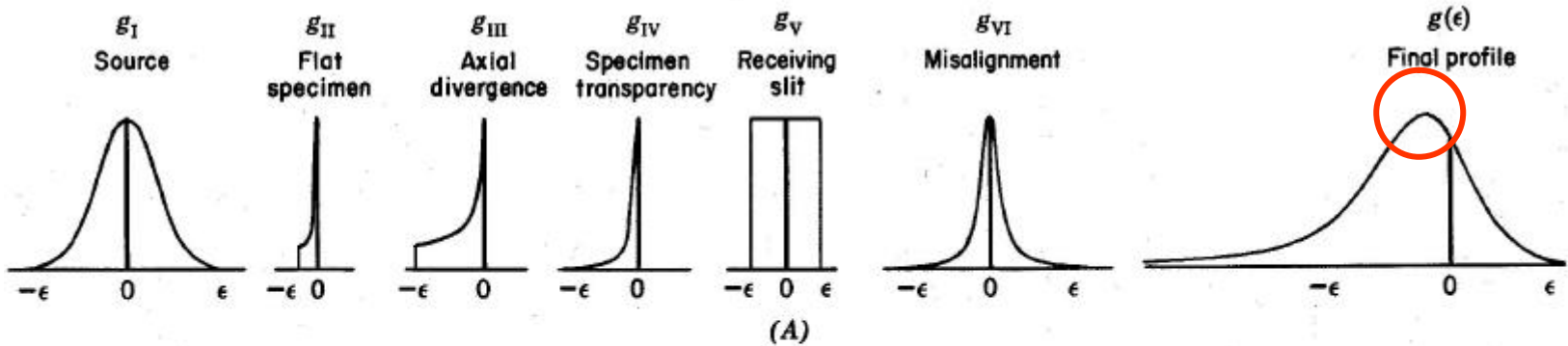
The integral breadth of the 'true' profile ( $f$ ) can be used, e.g., with the Scherrer formula:


$$L=Na \quad b_f \propto \frac{I}{L \cdot \cos q}$$



# ABERRATIONS OF THE POWDER GEOMETRY

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$$g = g_I \otimes g_{II} \otimes g_{III} \otimes g_{IV} \otimes \dots$$



# ABERRATIONS OF THE POWDER GEOMETRY

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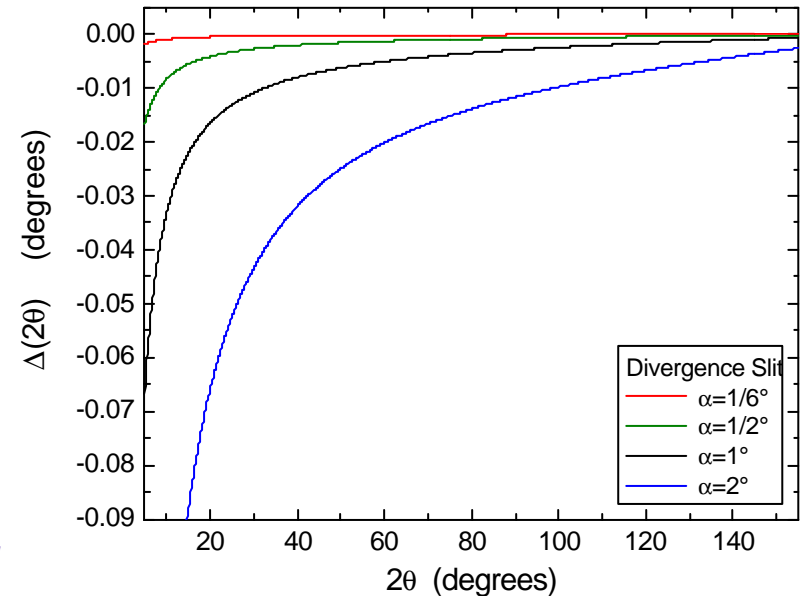
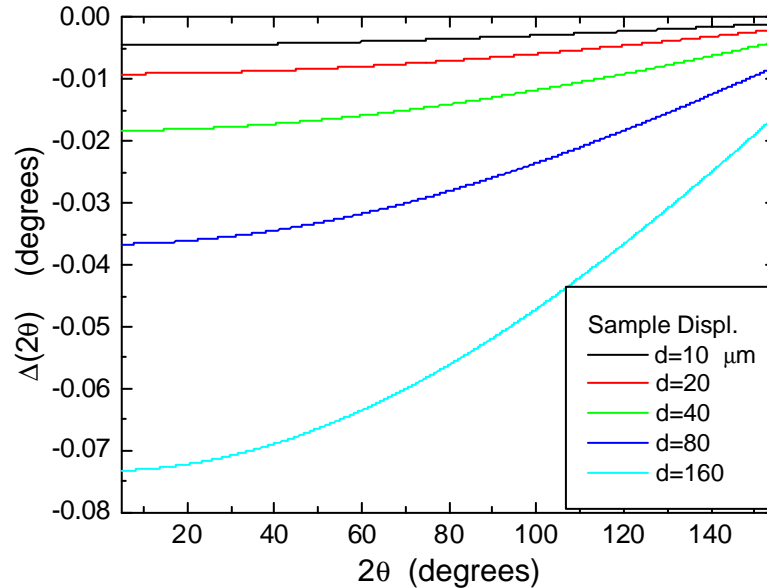
Analytical expressions of peak position aberrations.

	Centroid aberration	Parameters
Sample displacement	$\frac{-2d \cos \mathbf{q}}{R}$	d - sample displacement R - goniometer radius
Flat specimen	$-\frac{1}{6} \mathbf{a}^2 \cot \mathbf{q}$	$\alpha$ - divergence slit
Sample transparency	$\frac{-\sin(2\mathbf{q})}{2mR}$	$\mu$ - linear absorption coeff. R - goniometer radius
Axial Divergence	$-\frac{1}{6} \mathbf{d}^2 \cot(2\mathbf{q})$	$\delta$ - Soller slit (half aperture)
Refraction	$2(1-r)\tan \mathbf{q}$	R - index of refraction
Polarization	$\frac{2W \tan \mathbf{q}}{d^2}$	W - ( $^\circ$ ) d - interplanar spacing
Lorentz Factor and Dispersion	$\frac{3W \tan^3 \mathbf{q}}{I^2}$	W - ( $^\circ$ ) $\lambda$ - wavelength

( $^\circ$ ) As an estimate of W, Wilson suggests to use 1/3 of the square of the doublet separation.



Shift of *sample position* with respect to the goniometer axis



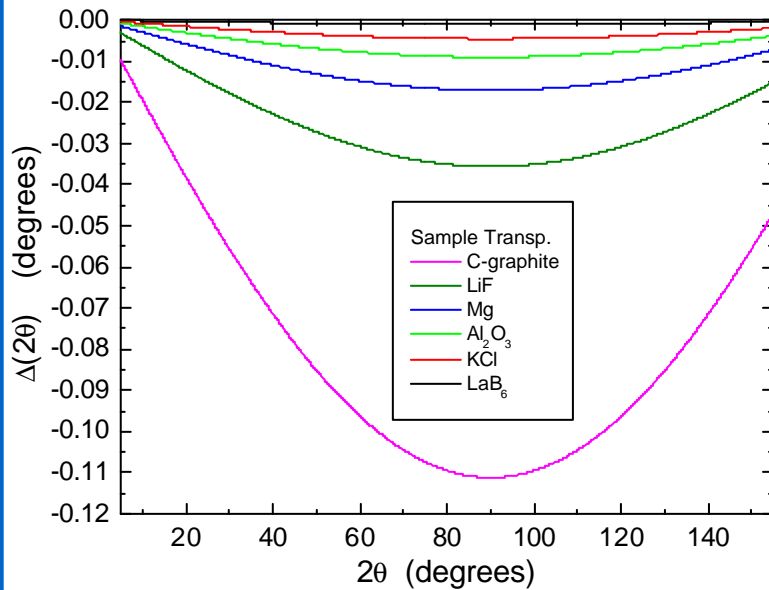
Width of the *divergence slit*



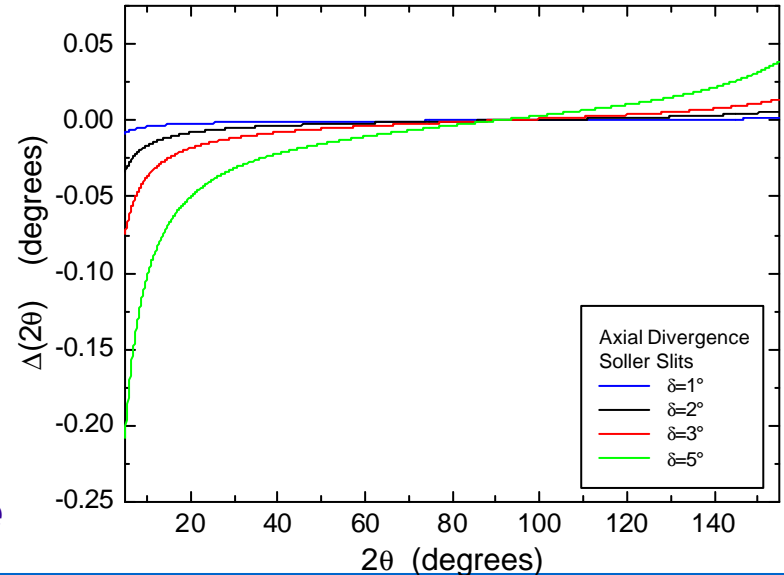
# ABERRATIONS OF THE POWDER GEOMETRY

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## Sample transparency



## Axial divergence

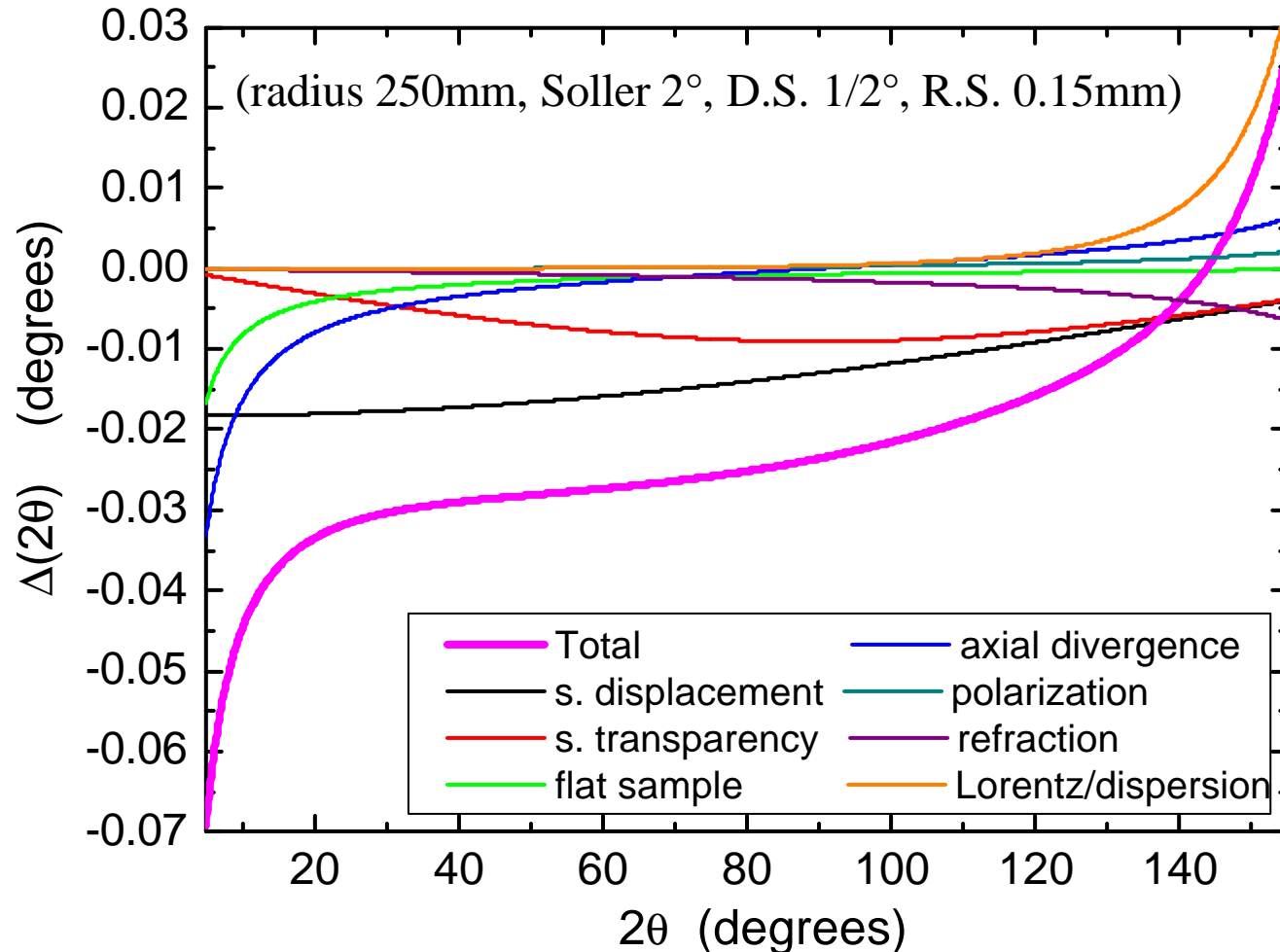






# ABERRATIONS OF THE POWDER GEOMETRY

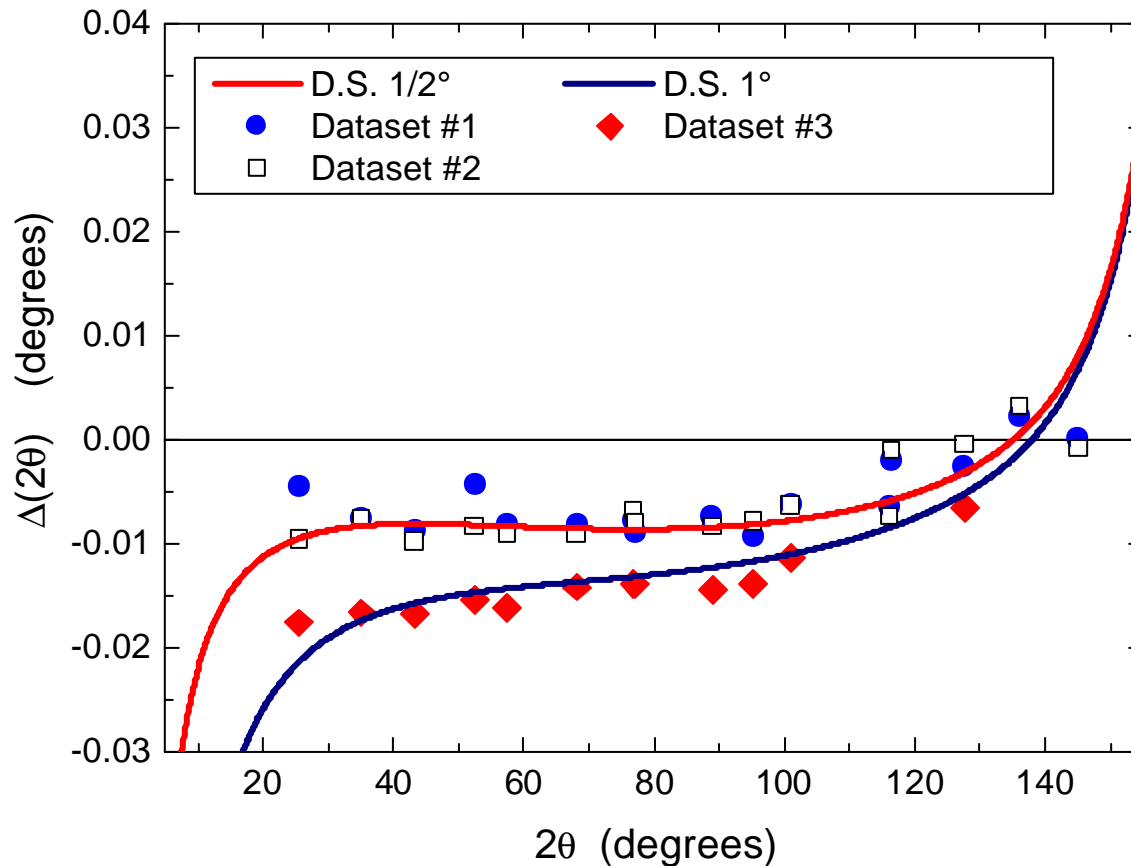
49





# ABERRATIONS OF THE POWDER GEOMETRY

Errors in peak positions: NI ST SRM 1976 alumina. D.S.  $\frac{1}{2}^\circ$  or  $1^\circ$ . Other parameters are the same (radius 250mm, Soller  $2^\circ$ , R.S. 0.15mm).





# COUNTING STATISTICS

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Like many natural phenomena related to the generation of random events with a finite time average, X-ray emission follows the POISSON statistics

$$p(n) = \frac{N^n}{n!} e^{-N}$$

where  $n$  is a positive integer.  $N$  is the **mean value**:

$$\bar{n} = \sum_{n=0}^{\infty} np(n) = \sum_{n=0}^{\infty} N \frac{N^{n-1}}{(n-1)!} e^{-N} = Ne^{-N} e^N = N$$

If  $N$  is the mean value of the counts collected for a certain time, the **standard deviation**  $s_n$  is obtained from:

$$s_n^2 = \overline{(n-N)^2} = \overline{(n^2 + N^2 - 2nN)} = N^2 + N - N^2 = N$$

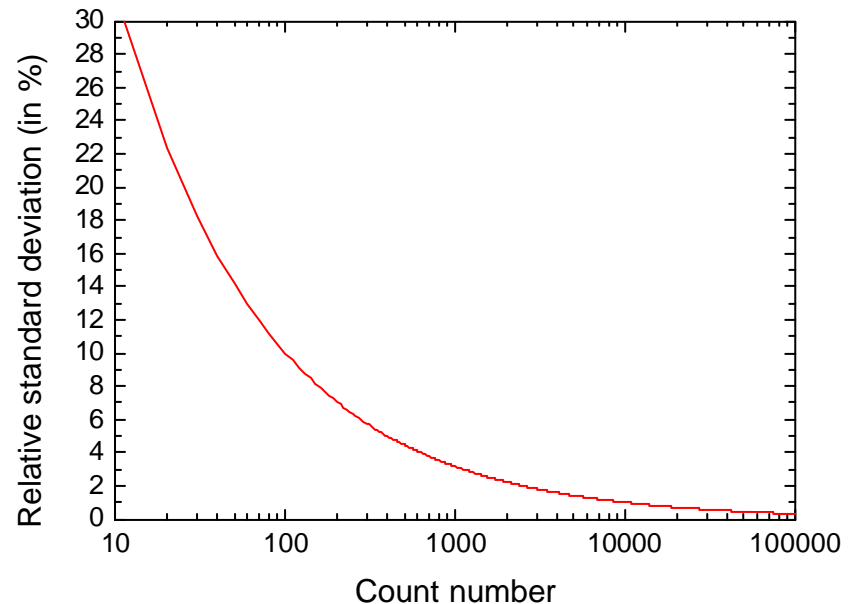


The *standard deviation* is given by

$$s_n = \sqrt{N}$$

And the *relative standard deviation* is:

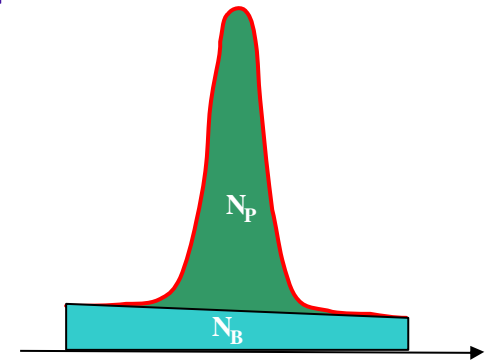
$$s_{n,rel} = \frac{\sqrt{N}}{N} = \frac{1}{\sqrt{N}}$$





When a background is present with  $N_B$  counts, if  $N_T = N_P + N_B$  is the total counts ( $N_P$  is the net peak area, with background subtracted), the relative standard deviation (in percentage) is:

$$s_P = \frac{\sqrt{N_T + N_B}}{N_T - N_B} \times 100$$



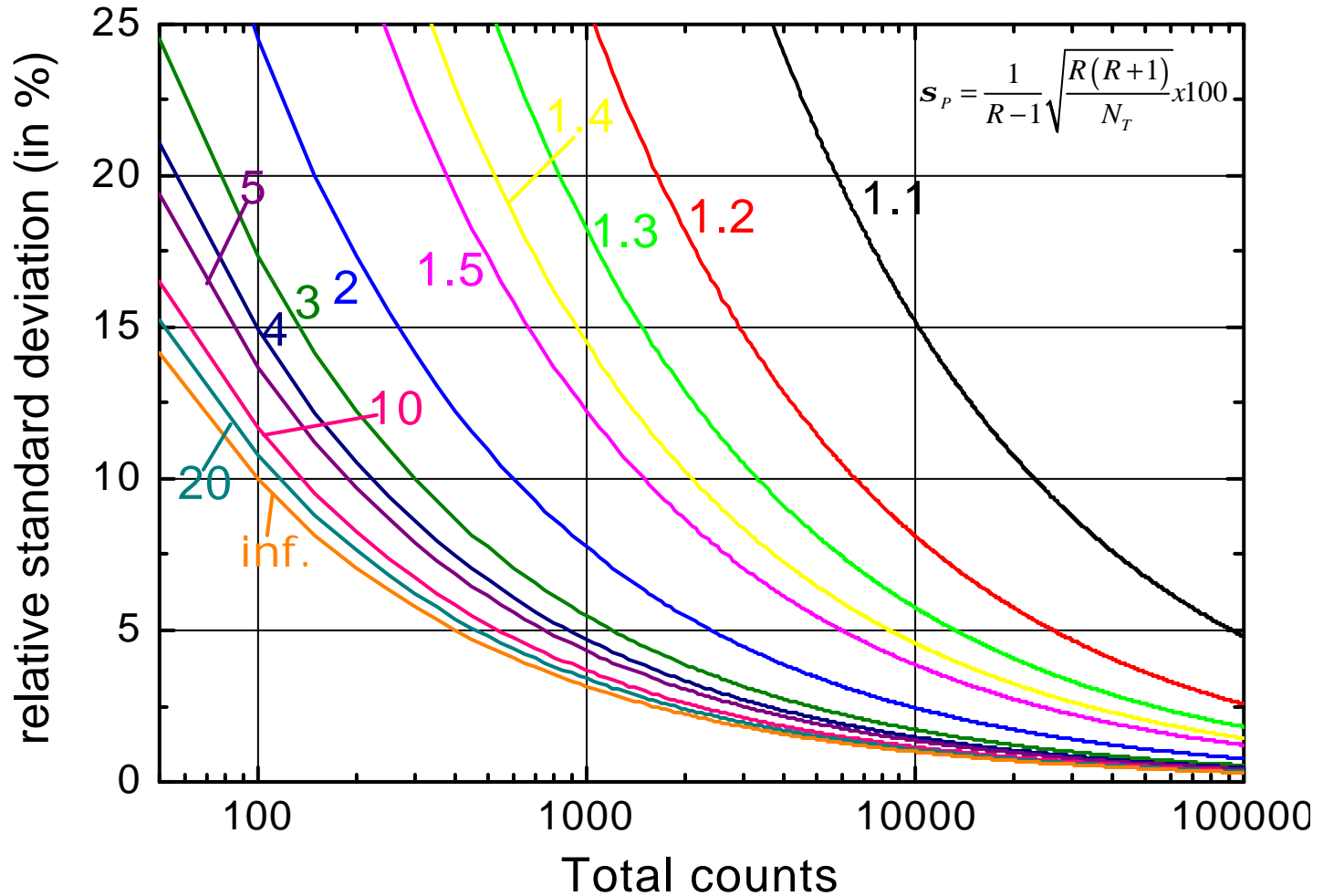
The signal-to-noise ratio,  $R$ , is defined as:

$$R = N_T / N_B$$

$$s_P = \frac{1}{R-1} \sqrt{\frac{R(R+1)}{N_T}} \times 100$$



# COUNTING STATISTICS





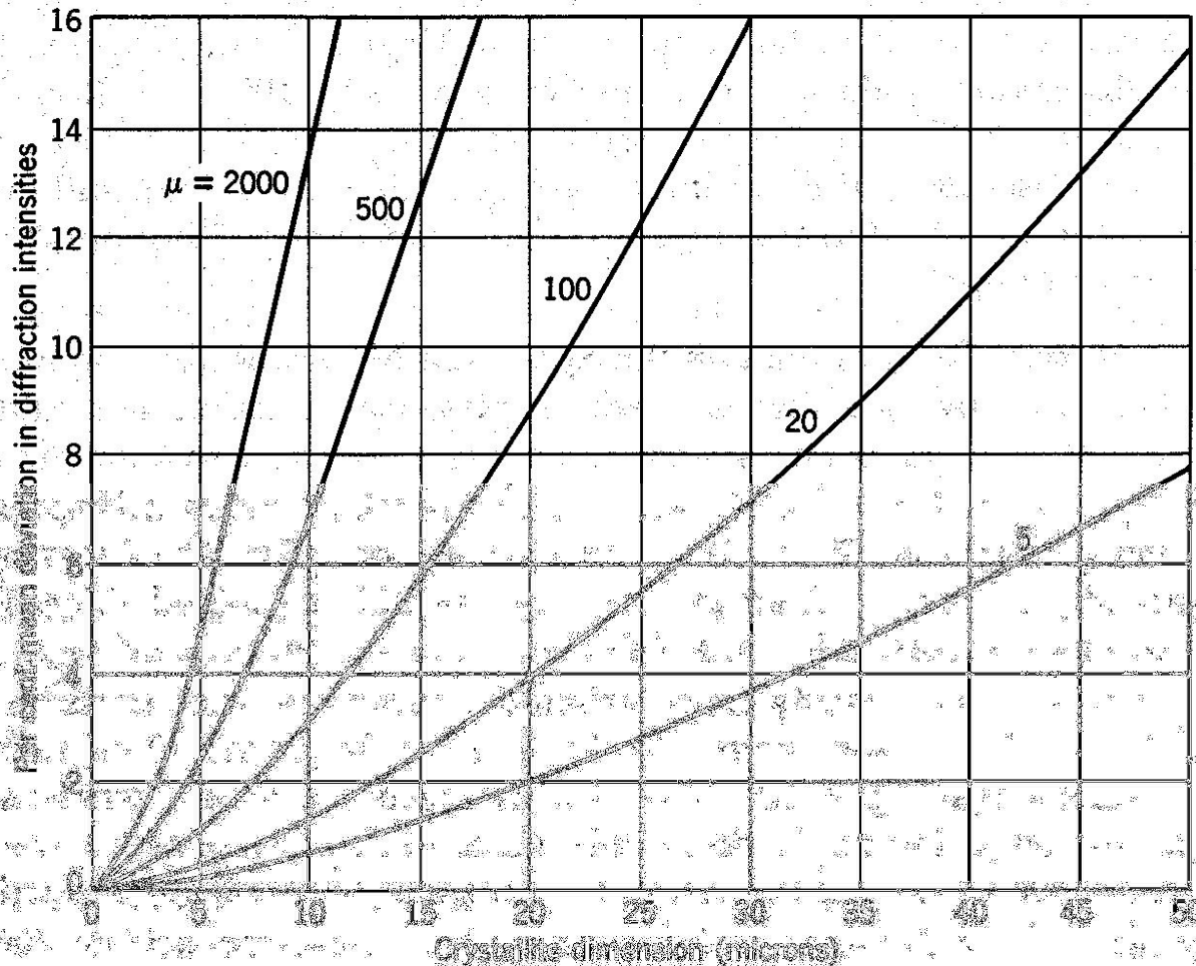
An implicit assumption of all the above reasoning is that the powder sample is homogeneous, even on a microscopic level. This is just an approximation, because samples are made of finite size grains.

If grains (actually, crystalline domains) are not sufficiently small, the concept of powder tends to lose its meaning, in the sense that it is not true anymore that for any direction there is a sufficiently large number of domains with atomic planes in Bragg conditions.

Depending on the absorption coefficient, the critical threshold for the domain size changes. In particular, the problem of having sufficiently small grains is critical for highly absorbing materials.



# GRAIN STATISTICS

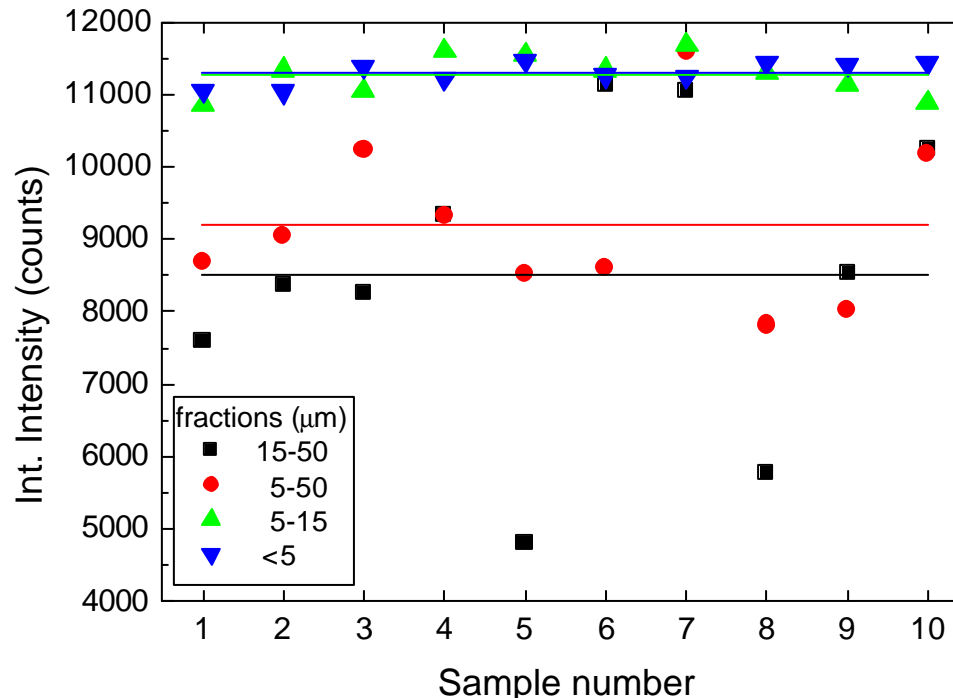






# GRAIN STATISTICS

The figure below shows the intensity collected for the same reflection of quartz for different specimens of the same powder sample (from 1 to 10), prepared by selecting different granulometric fractions. The smallest deviations are obtained below 5 *mm*.





# GRAIN STATISTICS

The data shown before also reveal a further effect. For the large grains, the decrease in intensity caused by absorption is increased by the fact that the fraction of diffracted intensity is also larger for these grains. Consequently, the signal is lower than that given by the same volume made of smaller (less absorbing) grains.

Mean values and standard deviations for the data of previous Figure

	15-50 $\mu\text{m}$	5-50 $\mu\text{m}$	5-15 $\mu\text{m}$	<5 $\mu\text{m}$
Mean	8512	9207	11267	11293
Standard deviation	2081 (24%)	1163 (13%)	293 (2.6%)	157 (1.4%)

This effect (extinction), together with *microabsorption* is one of the factors limiting the reliability of powder diffraction measurements. It is a particularly critical issue in *quantitative phase analysis*.



# REFERENCES

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- [17] L.B. McCusker, R.B. Von Dreele, D.E. Cox, D. Louër, P. Scardi, *J. Applied Crystallography* **32** (1999) 36-50.



# CONTENTS

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## PART I

- From single-crystal to powder diffraction
- Intensity scattered from a powder sample

## PART II

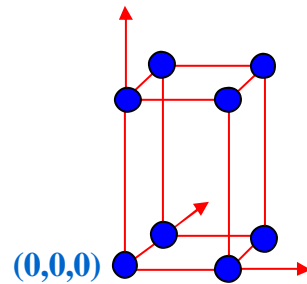
- Features and aberrations of the powder geometry
- Structure factor and intensity calculations



# STRUCTURE FACTOR CALCULATION

61

Primitive cell ( $P$ ) ( $Z=1$ ) with one atomic species only



$$F = f e^{2\pi i(0 \cdot h + 0 \cdot k + 0 \cdot l)} = f$$

$$I \propto |F|^2 = f^2$$

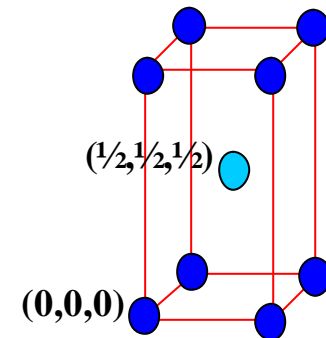
The structure factor is the same for all ( $hkl$ ) reflections



# STRUCTURE FACTOR CALCULATION

62

Body centred lattice (*I*) ( $Z=2$ ) with one atomic species only in  $(0,0,0)$  and  $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$



$$F = fe^{2\pi i(0)} + fe^{2\pi i(h/2+k/2+l/2)} = f \left[ 1 + e^{\pi i(h+k+l)} \right] = \begin{cases} 0 & h+k+l \text{ odd} \\ 2f & h+k+l \text{ even} \end{cases}$$

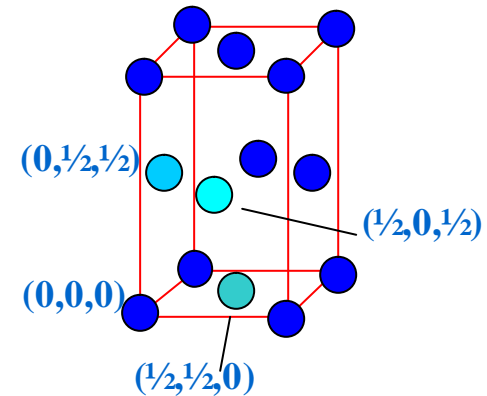
The intensity is proportional to  $4f^2$  for reflections with even sum of indices, and it is *zero* for those with odd sum of indices



# STRUCTURE FACTOR CALCULATION

63

Face centred lattice ( $F$ ) ( $Z=4$ ) with one atomic species only in  $(0,0,0)$ ,  $(0, \frac{1}{2}, \frac{1}{2})$ ,  $(\frac{1}{2}, 0, \frac{1}{2})$  and  $(\frac{1}{2}, \frac{1}{2}, 0)$



$$F = fe^{2\pi i(0)} + fe^{2\pi i(0+k/2+l/2)} + fe^{2\pi i(h/2+0+l/2)} + fe^{2\pi i(h/2+k/2+0)}$$
$$= f \left[ 1 + e^{\pi i(k+l)} + e^{\pi i(h+l)} + e^{\pi i(h+k)} \right] = \begin{cases} 0 & h, k, l \text{ mixed} \\ 4f & h, k, l \text{ unmixed} \end{cases}$$

The intensity of proportional to  $16f^2$  for reflections with mixed indices and it is *zero* for those with unmixed indices



# STRUCTURE FACTOR CALCULATION

64

$P$	$I$	$F$
(100)	-	-
(110)	(110)	-
(111)	-	(111)
(200)	(200)	(200)
(210)	-	-
(211)	(211)	-
(220)	(220)	(220)
(300)/(221)	-	-
(310)	(310)	-
(311)	-	(311)
(222)	(222)	(222)
(320)	-	-
(321)	(321)	-
(400)	(400)	(400)

The structure factor is independent of *shape* and *size* of the unit cell.

Rules shown in the previous examples are then valid for any  $P$ ,  $I$ , or  $F$  cells.





## EXAMPLE

Calculated integrated intensity  
for the reflections of Fluorite



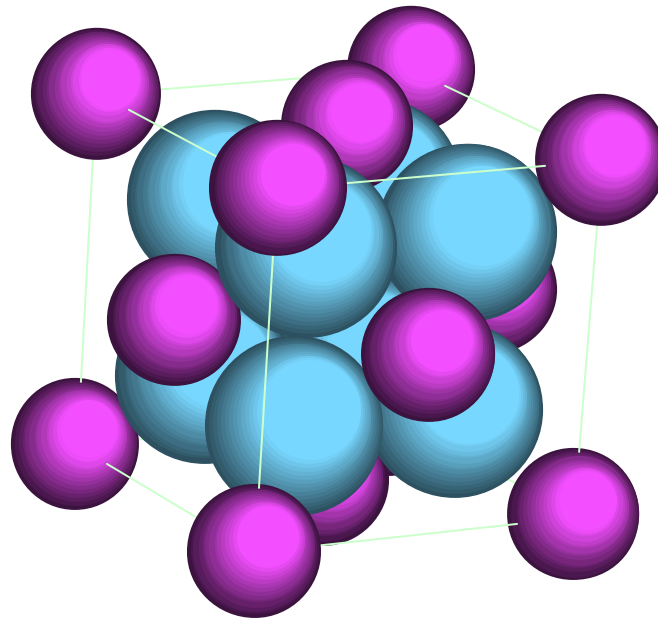
# FLUORITE POWDER PATTERN

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Fluorite ( $\text{CaF}_2$ ): **fcc** ( $Z=4$ ) unit cell.

Cations ( $\text{Ca}^{+2}$ ,  $r=0.99 \text{ \AA}$ ) in the origin and positions equivalent by *fcc* Translations.

Anions ( $\text{F}^{-1}$ ,  $R=1.33 \text{ \AA}$ ) in  $(\frac{1}{4}, \frac{1}{4}, \frac{1}{4})$ ,  $(\frac{1}{4}, \frac{1}{4}, \frac{3}{4})$  and positions equivalent by *fcc* Translations.



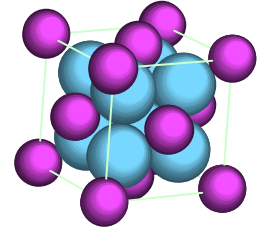


# FLUORITE POWDER PATTERN

67

$\text{Ca}^{+2}$  (0,0,0) + *fcc*

$\text{F}^{-1}$  (1/4,1/4,1/4), (1/4,1/4,3/4) + *fcc*.



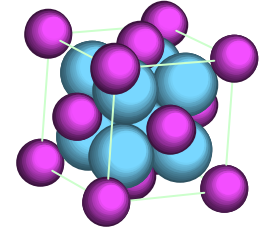
$$F = 4 \left[ f_{\text{Ca}} + f_{\text{F}} e^{\frac{i\mathbf{p}}{2}(h+k+l)} + f_{\text{F}} e^{\frac{i\mathbf{p}}{2}(h+k+3l)} \right] = 4 \left[ f_{\text{Ca}} + f_{\text{F}} \left( e^{\frac{i\mathbf{p}}{2}(h+k+l)} + e^{\frac{i\mathbf{p}}{2}(h+k-l)} \right) \right] =$$
$$= 4 \left[ f_{\text{Ca}} + 2f_{\text{F}} e^{\frac{i\mathbf{p}}{2}(h+k)} \cos\left(\frac{\mathbf{p}l}{2}\right) \right]$$

$$|F|^2 = 16 \left\{ f_{\text{Ca}}^2 + 4f_{\text{F}}^2 \cos^2\left(\frac{\mathbf{p}l}{2}\right) + 4f_{\text{Ca}}f_{\text{F}} \left[ e^{\frac{i\mathbf{p}}{2}(h+k)} + e^{-\frac{i\mathbf{p}}{2}(h+k)} \right] \cos\left(\frac{\mathbf{p}l}{2}\right) \right\} =$$
$$= 16 \left\{ f_{\text{Ca}}^2 + 4f_{\text{F}}^2 \cos^2\left(\frac{\mathbf{p}l}{2}\right) + 4f_{\text{Ca}}f_{\text{F}} \cos\left[\frac{\mathbf{p}}{2}(h+k)\right] \cos\left(\frac{\mathbf{p}l}{2}\right) \right\}$$



# FLUORITE POWDER PATTERN

$$|F|^2 = 16 \left\{ f_{Ca}^2 + 4f_F^2 \cos^2 \left( \frac{pl}{2} \right) + 4f_{Ca}f_F \cos \left[ \frac{p}{2}(h+k) \right] \cos \left( \frac{pl}{2} \right) \right\}$$



The expression simplifies considering that  $h, k, l$  are integers:

$$|F|_A^2 = 16f_{Ca}^2 \quad l \text{ odd}$$

$$|F|_B^2 = 16(f_{Ca} - 2f_F)^2 \quad (h+k) \text{ or } l \text{ odd multiple of } 2$$

$$|F|_C^2 = 16(f_{Ca} + 2f_F)^2 \quad (h+k) \text{ and } l, \text{ both odd or both even multiple of } 2$$

(111)	(200)	(220)	(311)	(222)	(400)	(331)	(420)	(422)	(333)	(511)	(440)	(531)	(600)
$ F _A^2$	$ F _B^2$	$ F _C^2$	$ F _A^2$	$ F _B^2$	$ F _C^2$	$ F _A^2$	$ F _B^2$	$ F _C^2$	$ F _A^2$	$ F _A^2$	$ F _C^2$	$ F _A^2$	$ F _B^2$

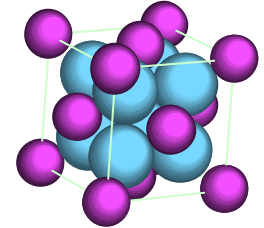


# FLUORITE POWDER PATTERN

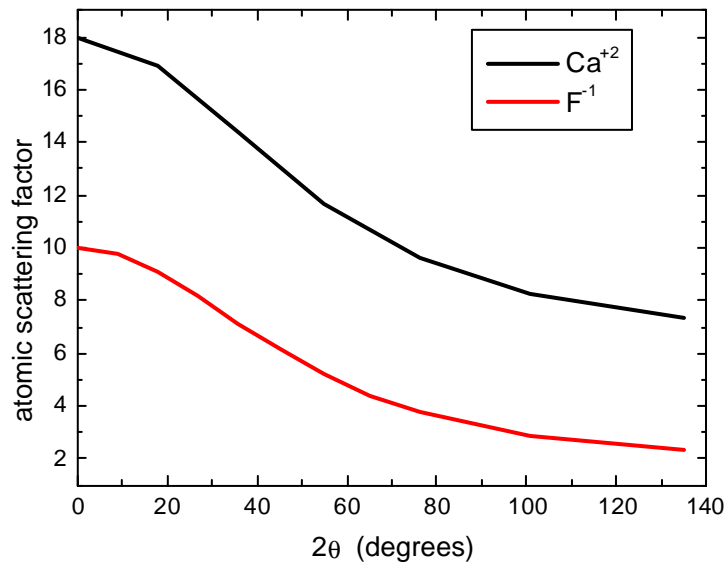
$$|F|_A^2 = 16f_{Ca}^2 \quad l \text{ odd}$$

$$|F|_B^2 = 16(f_{Ca} - 2f_F)^2 \quad (h+k) \text{ or } l \text{ odd multiple of 2}$$

$$|F|_C^2 = 16(f_{Ca} + 2f_F)^2 \quad (h+k) \text{ and } l, \text{ both odd or both even multiple of 2}$$



Atomic scattering factor ( $f$ ):



Dispersion corrections:

	Ca	F
$\Delta f'$	0.3	0.0
$\Delta f''$	1.4	0.1

$$\rightarrow (f + Df') + iDf''$$

Debye-Waller factors:

$$B(Ca) = 0.47 \text{ \AA}^2, B(F) = 0.67 \text{ \AA}^2$$



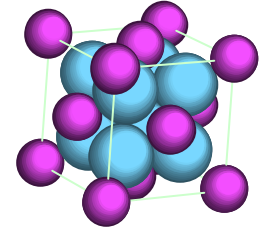
# FLUORITE POWDER PATTERN

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$$|F|_A^2 = 16f_{Ca}^2 \quad l \text{ odd} \quad (f+Df')+iDf''$$

$$|F|_B^2 = 16(f_{Ca} - 2f_F)^2 \quad (h+k) \text{ or } l \text{ odd multiple of } 2$$

$$|F|_C^2 = 16(f_{Ca} + 2f_F)^2 \quad (h+k) \text{ and } l, \text{ both odd or both even multiple of } 2$$



$$|F_T|_A^2 = 16f_{Ca}^2 e^{-2M_{Ca}} = 16 \left[ (f_{0,Ca} + \Delta f'_{Ca})^2 + (\Delta f''_{Ca})^2 \right] e^{-2M_{Ca}}$$

$$|F_T|_B^2 = 16 \left( f_{Ca} e^{-M_{Ca}} - 2f_F e^{-M_F} \right)^2 =$$

$$= 16 \left\{ \left[ (f_{0,Ca} + \Delta f'_{Ca}) e^{-M_{Ca}} - 2(f_{0,F} + \Delta f'_F) e^{-M_F} \right]^2 + \left( \Delta f''_{Ca} e^{-M_{Ca}} - 2\Delta f''_F e^{-M_F} \right)^2 \right\}$$

$$|F_T|_C^2 = 16 \left( f_{Ca} e^{-M_{Ca}} + 2f_F e^{-M_F} \right)^2 =$$

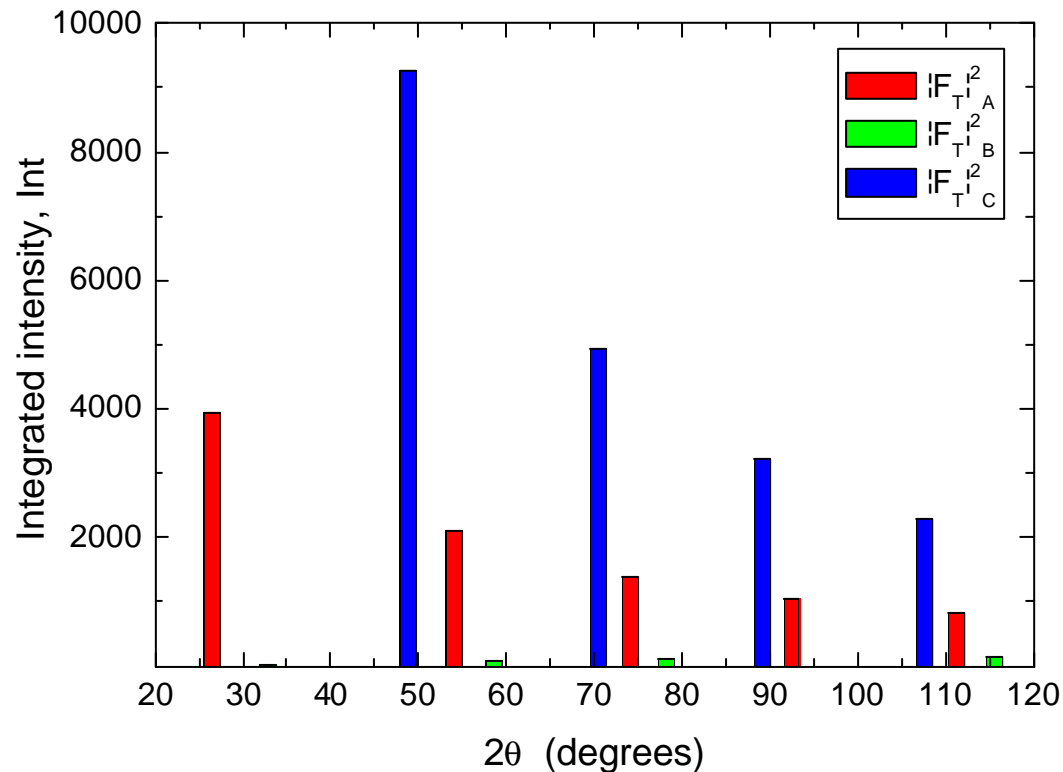
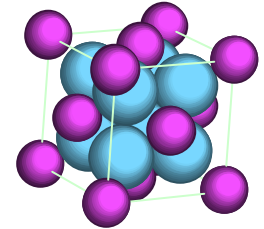
$$= 16 \left\{ \left[ (f_{0,Ca} + \Delta f'_{Ca}) e^{-M_{Ca}} + 2(f_{0,F} + \Delta f'_F) e^{-M_F} \right]^2 + \left( \Delta f''_{Ca} e^{-M_{Ca}} + 2\Delta f''_F e^{-M_F} \right)^2 \right\}$$



# FLUORITE POWDER PATTERN

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$$\begin{aligned} |F|_A^2 &= 16f_{Ca}^2 && l \text{ odd} \\ |F|_B^2 &= 16(f_{Ca} - 2f_F)^2 && (h+k) \text{ or } l \text{ odd multiple of 2} \\ |F|_C^2 &= 16(f_{Ca} + 2f_F)^2 && (h+k) \text{ and } l, \text{ both odd or both even multiple of 2} \end{aligned}$$



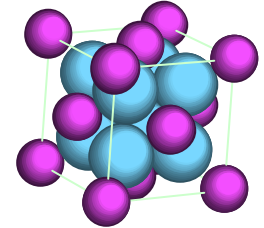


# FLUORITE POWDER PATTERN

$$|F|_A^2 = 16f_{Ca}^2 \quad l \text{ odd}$$

$$|F|_B^2 = 16(f_{Ca} - 2f_F)^2 \quad (h+k) \text{ or } l \text{ odd multiple of } 2$$

$$|F|_C^2 = 16(f_{Ca} + 2f_F)^2 \quad (h+k) \text{ and } l, \text{ both odd or both even multiple of } 2$$



<i>hkl</i>	$2q$	$\sin q/l$	<i>LP</i>	<i>p</i>	$e^{-B(Ca)\frac{\sin^2 q}{l^2}}$	$e^{-B(F)\frac{\sin^2 q}{l^2}}$	$e^{-\frac{\sin^2 q}{l^2}}$	$f_{0,Ca^2}$	$f_{0,F^{-1}}$	$ F_T _A^2$	$ F_T _B^2$	$ F_T _C^2$	<i>Int</i> (§)	<i>Int'</i> (#)
111	28.27	0.1585	15.00	8	0.988	0.983	0.985	15.53	7.99	3947			<b>86.3</b>	86.3
200	32.76	0.1830	10.94	6	0.984	0.978	0.979	14.87	7.49		24		<b>0.3</b>	0.3
220	47.00	0.2588	4.94	12	0.969	0.956	0.959	12.77	5.93			9259	<b>100.0</b>	100.0
311	55.76	0.3035	3.36	24	0.958	0.940	0.944	11.61	5.12	2109			<b>31.0</b>	30.4
222	58.48	0.3171	3.02	8	0.954	0.935	0.940	11.28	4.90		79		<b>0.3</b>	0.3
400	68.67	0.3661	2.14	6	0.939	0.914	0.920	10.22	4.18			4950	<b>11.6</b>	11.5
331	75.85	0.3989	1.77	24	0.928	0.899	0.906	9.62	3.79	1382			<b>10.7</b>	10.3
420	78.18	0.4093	1.68	24	0.924	0.894	0.901	9.45	3.68		114		<b>0.8</b>	0.7
422	87.37	0.4483	1.45	24	0.910	0.874	0.883	8.87	3.31			3228	<b>20.5</b>	20.1
333	94.22	0.4756	1.38	8	0.899	0.859	0.869	8.52	3.07	1032			<b>2.1</b>	1.9
511				24						1032			<b>6.2</b>	5.8
440	105.8	0.5177	1.39	12	0.882	0.836	0.847	8.03	2.71		0	2288	<b>7.0</b>	6.8
531	113.06	0.5415	1.49	48	0.871	0.822	0.834	7.76	2.50	812			<b>10.6</b>	9.8
600	115.57	0.5492	1.54	6	0.868	0.817	0.829	7.67	2.44	0	155		<b>0.3</b>	0.2

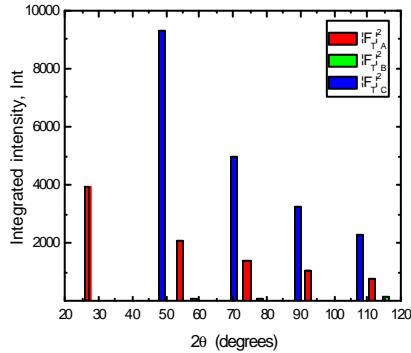
$$a_0 = 5.463\text{\AA} \quad q_m = 13.28^\circ \quad M = B(\sin q/l)^2 \quad l = 1.540598\text{\AA}$$



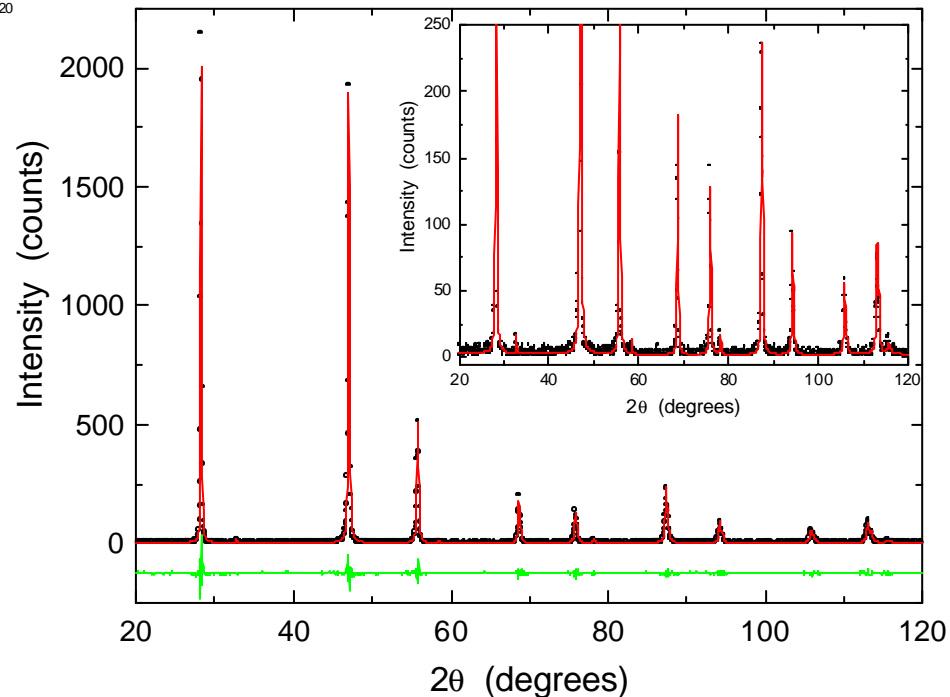
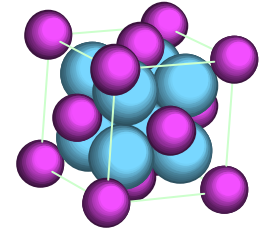


# FLUORITE POWDER PATTERN

Experimental pattern of fluorite powder

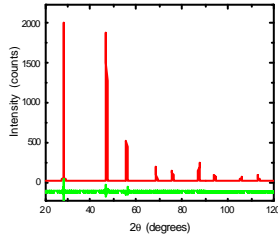


$a_0$	$sd$	$R_{wp}$	$R_{exp}$	$GoF$
5.4639 (1) Å	11 μm	23.98 %	22.33	1.074

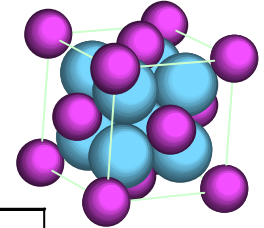




# FLUORITE POWDER PATTERN



Experimental pattern of fluorite powder:  
profile fitting results



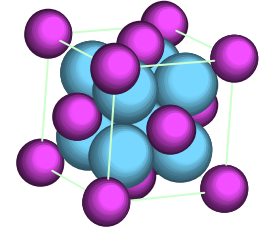
$(hkl)$	$2\theta$	$d_{hkl}$	$I_{max}$	Area	Int	$HWHM_f$ $h_f$		$HWHM_r$ $h_r$	
111	28.267	3.1546	1676 (25)	318.5	<b>83.66</b>	0.0619 (0.0015)	0.41 (0.02)	0.073	0.47
200	32.754	2.7320	12 (3)	1.7	<b>0.44</b>	0.051 (0.016)	0.00 (0.15)	0.061	0.15
220	47.000	1.9318	1688 (21)	379.9	<b>100</b>	0.0528 (0.0013)	1.00 (0.04)	0.074	0.91
311	55.754	1.6474	453 (13)	124.2	<b>32.68</b>	0.066 (0.006)	1.00 (0.13)	0.089	0.94
222	58.467	1.5773	10 (2)	1.5	<b>0.41</b>	0.041 (0.024)	0.23 (0.85)	0.058	0.47
400	68.654	1.3660	167 (7)	48.6	<b>12.78</b>	0.067 (0.009)	1.00 (0.21)	0.094	0.94
331	75.833	1.2535	117 (6)	42.7	<b>11.23</b>	0.088 (0.013)	1.00 (0.22)	0.118	0.96
420	78.171	1.2218	14 (2)	4.6	<b>1.22</b>	0.077 (0.045)	1.00 (0.94)	0.108	0.95
422	87.364	1.1153	221 (7)	83.6	<b>22.01</b>	0.086 (0.010)	1.00 (0.16)	0.122	0.97
333	94.201	1.0515	21(1)	8.5	<b>2.24</b>	0.089	1.00	0.129	0.97
511			64(14)	25.6	<b>6.73</b>	(0.017)	(0.29)		
440	105.784	0.9659	50 (3)	28.0	<b>7.37</b>	0.131 (0.026)	1.00 (0.31)	0.179	0.99
531	113.033	0.9236	79 (4)	44.3	<b>11.65</b>	0.125 (0.020)	1.00 (0.26)	0.179	0.99
600	115.532	0.9107	7 (2)	5.4	<b>1.42</b>	0.19 (0.11)	1.00 (0.93)	0.251	0.99



# FLUORITE POWDER PATTERN

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Comparison between calculated and measured integrated intensities:



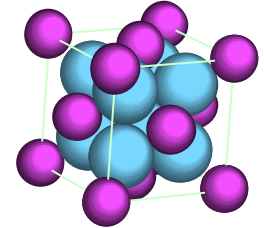
<i>hkl</i>	$2\theta$	<b>Calculated Integ. Int.</b>	<b>Experimental Integ. Int.</b>
111	28.27	<b>86.3</b>	<b>83.7</b>
200	32.76	<b>0.3</b>	<b>0.4</b>
220	47.00	<b>100.0</b>	<b>100.0</b>
311	55.76	<b>31.0</b>	<b>32.7</b>
222	58.48	<b>0.3</b>	<b>0.4</b>
400	68.67	<b>11.6</b>	<b>12.8</b>
331	75.85	<b>10.7</b>	<b>11.2</b>
420	78.18	<b>0.8</b>	<b>1.2</b>
422	87.37	<b>20.5</b>	<b>22.0</b>
333	94.22	<b>2.1</b>	<b>2.2</b>
511		<b>6.2</b>	<b>6.7</b>
440	105.8	<b>7.0</b>	<b>7.4</b>
531	113.06	<b>10.6</b>	<b>11.6</b>
600	115.57	<b>0.3</b>	<b>1.4</b>



# FLUORITE POWDER PATTERN

Counting statistics:

$$S_P = \frac{\sqrt{N_T + N_B}}{N_T - N_B} \times 100$$



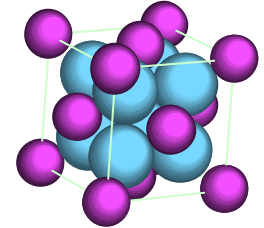
<i>hkl</i>	$I_{rel}$ (%)	$2\theta_{start}$	$2\theta_{end}$	$N_B$ (conteggi)	$N_T = N_P + N_B$ (conteggi)	$S_P$ (%)
111	83.66	26.75	29.65	177	9452	<b>1.0</b>
200	0.44	31.45	33.90	141	224	<b>23.0</b>
220	100	45.45	48.40	141	11037	<b>1.0</b>
311	32.68	53.90	57.45	154	3770	<b>1.7</b>
222	0.41	57.25	59.55	98	243	<b>12.7</b>
400	12.78	66.70	70.45	147	1612	<b>2.9</b>
331	11.23	73.40	78.10	177	1538	<b>3.0</b>
420	1.22	75.95	80.25	161	919	<b>4.3</b>
422	22.01	84.85	89.75	182	2679	<b>2.1</b>
333/511	2.24/6.73	91.55	96.70	194	1287	<b>3.5</b>
440	7.37	102.15	109.30	286	1243	<b>4.1</b>
531	11.65	109.35	116.55	306	1865	<b>3.0</b>
600	1.42	110.45	119.95	413	2014	<b>3.1</b>



# FLUORITE POWDER PATTERN

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Comparison between calculated and measured integrated intensities:



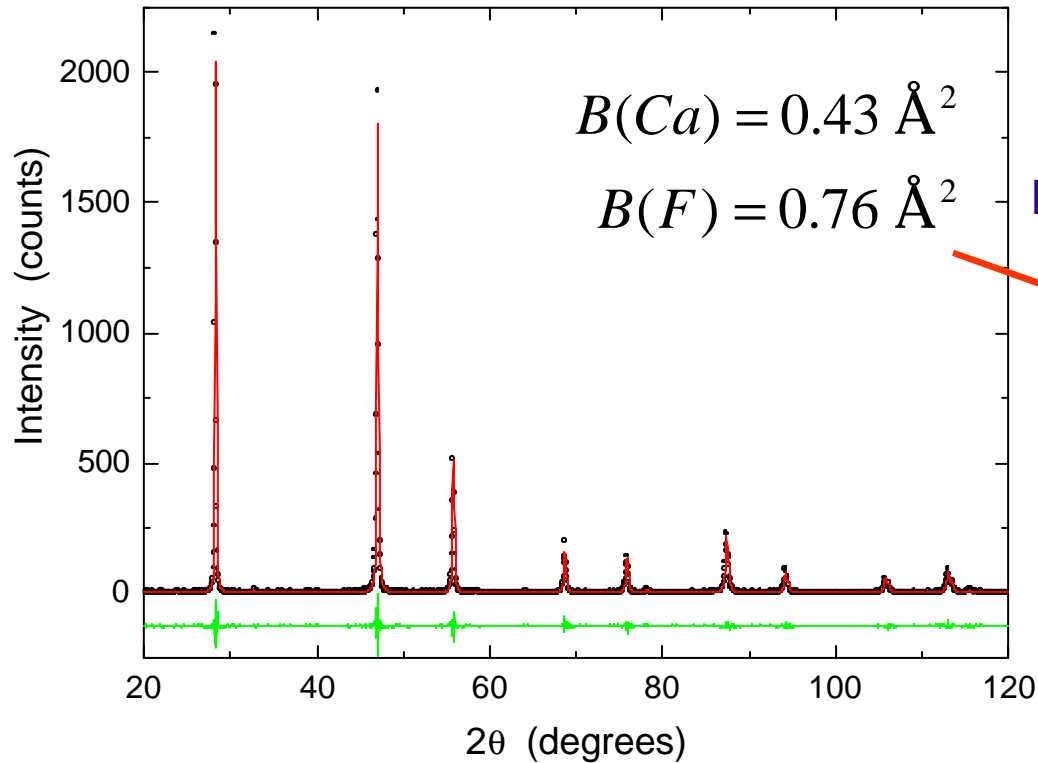
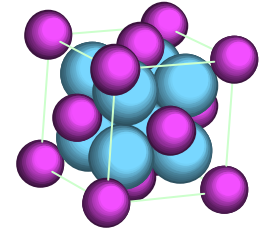
<i>hkl</i>	<i>2q</i>	<b>Calculated Integ. Int.</b>	<b>Experimental Integ. Int.</b>	<b><math>s_p</math> (%)</b>
111	28.27	<b>86.3</b>	<b>83.7</b>	<b>1.0</b>
200	32.76	<b>0.3</b>	<b>0.4</b>	<b>23.0</b>
220	47.00	<b>100.0</b>	<b>100.0</b>	<b>1.0</b>
311	55.76	<b>31.0</b>	<b>32.7</b>	<b>1.7</b>
222	58.48	<b>0.3</b>	<b>0.4</b>	<b>12.7</b>
400	68.67	<b>11.6</b>	<b>12.8</b>	<b>2.9</b>
331	75.85	<b>10.7</b>	<b>11.2</b>	<b>3.0</b>
420	78.18	<b>0.8</b>	<b>1.2</b>	<b>4.3</b>
422	87.37	<b>20.5</b>	<b>22.0</b>	<b>2.1</b>
333	94.22	<b>2.1</b>	<b>2.2</b>	<b>3.5</b>
511		<b>6.2</b>	<b>6.7</b>	
440	105.8	<b>7.0</b>	<b>7.4</b>	<b>4.1</b>
531	113.06	<b>10.6</b>	<b>11.6</b>	<b>3.0</b>
600	115.57	<b>0.3</b>	<b>1.4</b>	<b>3.1</b>



# FLUORITE POWDER PATTERN

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Result using the Rietveld method (TOPAS ©) :



Literature values:

$B(Ca) = 0.47 \text{ \AA}^2$

$B(F) = 0.67 \text{ \AA}^2$



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